Enjoy dual benefits by opening Corporate 2 in 1 Account

Demat + Trading Account

Better storage with low risk & immediate credit to investor's account

Quicker transactions & higher trading efficiency

Automatic credit of shares during mergers & acquisitions

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CHECKLIST FOR ENSURING DEMAT/HSL ACCOUNTS FTR

Part / Segment	Details for verification		Page no.	Tick (✓)
General	Application Form type, to be mentioned.		1	
	Sourcing Branch Code & Name (if applicable), to be mentioned & should be consistent across pages.		1	
	Branch Inward Stamp, Auth Signatory Name, Emp Code, Seal of Branch, should be available & should be consistent across pages.		1	
	Common check list and Important points		2	
KYC Form	Details of Legal Entity	Mandatory to provide Name of Legal entity / Status / Entity address, Mobile & Email details / Legal entity declaration with AUS Signature	3	
		IPV Details should be complete with Employee details, Designation, signatures, Branch seal and date.	3	
		Legal entity Name should match with Proof of Identity (POI).	3	
		Mandatorily Mobile & email id required, to be mentioned.	3	
	Details of Related Person(s)	Mandatory details @ Related Person Type / DIN number / Related person Name / Father or Spouse Name / Mother Name / Martial Status / Date of Birth / Gender / Nationality / PAN or Form 60 / POLITICALLY EXPOSED PERSON / OVD proof Tick box / Related person PHOTO with across signature / Correspondence address & Permanent address / Related person declaration & Signature	4,5,6	
		Related person Name should match with that of Proof of Identity (POI).	4,5,6	
Demat AOF	LG code & LC Code, to be mentioned & should be consistent across pages.		1	
	Mandatory to select Depository i.e. NSDL or CDSL for opening of Account.		7	
	Details of Account holder with Pan number / GST ID number / Type of account tick box / Bank details		7	
	Financial (i.e. Gross Annual Income, LEI no and Net worth) Details, to be mentioned.		7	
	In case Dividend a/c is Non HDFC Bank A/c then photocopy/cancelled cheque leaf is required to be attached		7	
	Politically Exposed Person (PEP) Ticked		7	
	Standing instruction, POA operated, SMS Alert Facility, Auto pledge confirmation, Mode of receiving Statement of Account and Mode of Annual Reports, AGM notice & other communication from issuer to be specified.		8	
	HUF DECLARATION to be filled up in case HUF account and Clearing member details in case POOL account		8	
	HUF Signature required along with stamp		8	
	Fill up Debit Authorisation details and AUS certification require as per MOP mentioned in Bank account		9	
	Bank official seal and signature on Debit Authorisation page		9	
	Fee Schedule for HDFC Bank Corporate Demat Accounts (NSDL & CDSL)		10	
	Auth signatory certification require as per MOP on Acknowledgment / Consent For Additional Rights & Obligations page		10	
FATCA FORM	Entity and Beneficial Owner details to be filled		13, 14 & 15	
Trading AOF	Scheme Type, to be mentioned.		1	
	Mandatory to tick on - Monthly or Quarterly, for setting the Trading Account.		2	
	Strike off Physical under consent for Additional Right & Obligation / Tariff Sheet.		2	
	AOC amount as per consent letter, is to be mentioned.		2	
	Applicant name and Bank and DP account details to be filled		11	
	Sign required on Trading Preferences		11	
	Specify Proof of income given for F&O & CDS to be mentioned.		11	
	Tick required on - Past Action, Dealing through other Brokers / Sub Brokers		11	
	Tick required on ECN or PCN, Internet Facility, Experience in Trading		12	
	Tick required on - Gross income amount & Occupation		12	
	Mandatory signature required on MITC / Good Till date policy / MITC_Research		25,26,27,28	
DDPI & Other	DDPI, Bank Mandate & Master Mandate		19,20	
	Application for Net Banking facility for Companies / Trusts / Co-operative Societies / Association of Persons / Clubs		21,23	
	APPLICATION FOR NETBANKING FOR HINDU UNDIVIDED FAMILY (HUF)		22,23	
	Annexure O Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories Customers Only (To be given to the Customers)		27	
Signat_ures	Customer to sign @ 23 places, 5 for Demat & 18 for Trading AOF			

General but IMPORTANT Checks
Always use the new version of forms, refer mail from CPU and check version of form (it should be the latest).
Name of the applicant between AOF/PAN/ID & Address Proof /FATCA/Income Tax Site should be consistent
Self attested clear readable copy of PAN and Proof of Identity & Proof of Address to be submitted.
Original seen & verification stamp by the Bank Staff to be affixed on PAN card copy, POI and Proof of Address copy.
In case the photo on POI copy is not clear, alternate self attested ID proof to be provided.
Photograph affixed and signed across such that part of signature is on the photo and part is on form.
Aadhar Consent letter required, if Aadhar card copy is provided as supporting document.
All Alterations/Corrections are attested by the customer.
Company / HUF stamp required on the all the signatures.
List of people authorised to attest the documents : Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).

Branch code Branch Name		
Employee Code & Name		
Signature		Date:

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COMMON DEMAT & TRADING ACCOUNT OPENING CHECK LIST

Type of Documents require	Public / PVT LTD	LLP	Partnership *	HUF	Un-Registered trust*	Registered trust*	Registered Society	Foreign body corporate
KYC Form - Part 1	√	√	√	√	√	√	√	√
Demat Account opening form - Part 2	√	√	√	√	√	√	√	√
Self-Attested copy of PAN Card - Company / Authorized signatories / Two Directors / Whole time Directors / Promoters / LLP / Partnership Firm / all Partners / HUF / Karta /Trust / Society / all Trustees / Trust / society Chairman / Secretary / Members is required	√	√	√	√	√	√	√	√
Self-Attested copy of Address Proof -Company / Authorized signatories / Two Directors / Whole time Directors / Promoters / LLP / Partnership Firm / all Partners / HUF / Karta /Trust / Society / all Trustees / Trust / society Chairman / Secretary / Members is required	√	√	√	√	√	√	√	√
Board Resolution / Authority Letter / Trust Resolution / Society Resolution is required on Letter Head of the Firm to open Demat account with HDFC Bank Ltd.	√	√	X	X	√	√	√	√
NOC from all partners for opening account in the name of particular partner(s)	X	X	√	X	X	X	X	X
Declaration requires as per NSDL format refer Annexure II	X	X	√	X	X	X	X	X
List of Directors / Designated partner / Partner / Trustee / Managing Committee / Member is required and same should be duly certified by authorized signatories	√	√	√	X	√	√	√	√
List of authorized signatories with photographs and specimen signatures duly certified by authorized signatories is required	√	√	√	X	√	√	√	√
Certificate of Incorporation / LLP certificate / Trust / society Registration certificate duly certified by Authorized signatories is required	√	√	X	X	X	√	√	√
Copies of Memorandum of Association & Articles of Association / LLP Deed / Partnership Deed / Trust Deed / Bye laws duly certified by Authorized signatories is required	√	√	√	X	√	√	√	√
Copy of Audited / CA certified Balance sheets for the last 2 financial years require and For Trading a/c opening two-year audited P&L require	√	√	√	X	√	√	√	√
Copy of latest share holding pattern including list of all those holding control, either directly or indirectly is required	√	X	X	X	X	X	X	√
Latest photograph of two Directors / one whole time Directors / All partners / Designated partners / Karta / All Trustee / Chairmen & Secretary to be affix on Account opening form.	√	√	√	√	√	√	√	√
Latest photograph of all individual Promoters to be affix on Account opening form. In case there are no individual promoters in the company, then No promoter declaration require company letter head.	√	X	X	X	X	X	X	√
Beneficial Owner Declaration (Annexure I) duly filled & signed by AUS and Copy of PAN & Address Proof of share Holders / Beneficial Owners (natural persons) / settlers / trustee / protectors & Beneficiaries are required	√	√	√	X	√	√	√	√
FATCA Annexure for Entities duly filled & signed	√	√	√	√	√	√	√	√
MCA site printout duly certified by Authorized signatories is required	√	√	X	X	X	X	X	X
If Aadhar card copy is/are submitted for any individual, then Aadhar consent declaration is/are required.	√	√	√	√	√	√	√	√
Declaration from all the trustees required stating that only securities belonging to the Trust will be held / transacted in this Account (Part of Trust resolution)	X	X	X	X	√	X	X	X
Necessary RBI approvals to be obtained / FDI declaration to be provided certified by AUS as per MOP	X	X	X	X	X	X	X	√
Declaration from the foreign entity required that it has complied and will continue to comply with FEMA Regulations certified by AUS as per MOP	X	X	X	X	X	X	X	√
Incase self-attestation done on KYC documents is differ with ID proof then Signature Mismatch declaration required	√	√	√	√	√	√	√	√

PMLA guidelines for identification of Beneficial owners

With reference to amendment in Master Direction on Know your customer, the threshold for "Controlling ownership interest/Control" for the purpose of determination of Beneficial Owner has been revised. Below table details the threshold which needs to be followed.

Type Entity	Thresholds
Companies (One Person Company/Public/ Private Limited/ Section 25/8, Partnership firm/LLP)	More than 10 percent
BOI/AOP/Society	More than 15 percent
Registered / Unregistered Trust	Beneficial owner(s) shall include identification of • The author of the trust • The Trustee • The beneficiaries with 10% or more interest in the trust • Any other natural person exercising ultimate effective control over the trust through chain of Control or Ownership

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Sr No	IMPORTANT POINT FOR DEMAT AND TRADING ACCOUNT OPENING
1	Pvt Ltd / LLP / Foreign body corporate account can only be opened in the name of Entity
2	Annexure-I required on company letter head
3	For ID & Address proof Offcial Valid Document (OVD) proof is mandatory i.e - Aadhar card, Driving License, Voter ID card, Passport copy, Job card issued by NREGA etc
4	Original seen and verified stamp to be affixed on the copies of all supporting documents. The Bank staff need to affix his/her full signature and mention his/her name and employee code.
5	Branch code, Branch name, LG code, LC code Branch Inward date & BDA certification should furnish on covering page of AOF.
6	Board resolution should not be more than six months old.
7	Board resolution should be signed by minimum Two Directors/ MD/CS.
8	E-Aadhaar copy should be latest downloaded from UIDAI site, with digitally validated i.e. Green tick ☑ should be available instead of Question mark ?
9	Digital signature should be validated on e-PAN card & e-Aadhar card copy
10	Latest share holding pattern require (should not more than 6 months old)
11	If Individual UBO is not identified then Senior Managing official details require in Section - I on annexure I and FATCA annexure 2
12	First eight digit of Aadhar number on Aadhar copy should be redacted / Blacked Out
13	In case of partnership firm, Demat account will be opened in the name upto three partner (s).
14	Use Non-Individual AOF for opening Partnership firm & HUF Account
15	Mode of operation should be jointly by all if account is being opened in more than one Partner and Joint certification require on thrugh out AOF & all supporting documents.
16	HUF declaration to be filled in case HUF account only.
17	In case Un-registered Trust, Demat account will be opened in the name upto three trustees
18	Appointment letter or Supplimentary trust Deed is required as per the rules and regulations in the TRUST DEED, in case if names of trustees are not reflecting in the TRUST DEED.
19	For Un-registered Trust , Declaration from all the trustees required stating that only securities belonging to the Trust will be held / transacted in this Account (Part of Trust resolution)
20	In case Registered Trust, Demat account will be opened in the name of Trust
21	For Registered Trust - Certified true copy of Registration certificate issued by Statutory authorities under the provisions of the The Indian Societies Registration Act, 1860 or under the provisions of a state Act such as Bombay Public Trust Act, 1950 or the relevant State Public Trust Act. If certificate under above mentioned Act is not available, a certificate obtained by the client as per Section 12AA of Income Tax Act, 1961 need to be obtained along with certified copy of the latest income tax returns filled by the trust
22	For Registered Society - Certified true copy of Certificate of Registration issued by the authority under provisions of The Indian Society Registration Act, 1860 is required
23	For foreign body corporate account - Indian Bank account (INR) cannot be linked for dividend purpose unless there is specific RBI approval
24	PROVIDENT FUND / GRATUITY FUND / SUPER ANNUATION FUND (i.e. Terminal Benefits Funds) - Certified true copy of Certificate of Recognition certificate issued by Income Tax authorities or Regional Provident Fund Commissioner is required
25	For co-operative society - Certified true copy of Certificate of Registration issued by the authority under provisions of The Indian Societies Registration Act, 1860 is required
26	AOF and supporting documents to be signed under the Rubber seal of the Company
27	DIN numbers of wholetime directors / two directors in charge of day to day operations is require

Sr No	IMPORTANT POINT FOR TRADING ACCOUNT OPENING
1	Partnership Firm / Trust / LLP has to be REGISTERED (MANDATORY)
2	Partnership Firm / Trust / LLP will be opened as per Entity name.
3	Enabling clause (permitting the company to invest/trade in shares and securities) in the MOA / partnership Deed / Trust Deed to be highlighted/ticked.
4	Copies of Audited / CA Certified balance sheet and Profit & Loss a/c statement for the last 2 financial years to be submitted. (Copies of annual balance sheet submitted every year) If company is newly formed then letter to be taken declaring the same and non-availability of Financials.
5	Trading (Netbanking) Board Resolution is required on letterhead (as per format provided) To be signed by the directors (director cannot authorize himself). Authorized signatory's Cust ID in Company's Current A/c is to be mentioned in the resolution. Board Resolution has to be dated.
6	Company can nominate any one of the authorised signatory for providing financial rights through net banking.
7	DIN numbers of wholetime directors / two directors in charge of day to day operations is require.
8	Authorization from partners to authorize any of the partners for operating the trading account with HDFC Securities Ltd for partnership / LLP account
9	Resolution of Trustees for opening a Trading Account and approving participation in equity/derivatives/debt trading through HDFC Securities Ltd. And naming authorized person dealing in securities is required for Trust account.
10	Form 'A" OR whatever name called – which specifies the details of partner submitted at the time of registration of firm to be submitted for partnership firm account
11	Foreign Body Corporate and AIF not applicable for trading

Important pre-requisites for the acceptance of the request of F&O privilege based on the supporting documents

List of acceptable documents	Condition if any
Copy of ITR Acknowledgement (for last financial year)	Should have a gross income of more than Rs. 1.2 lakh
Copy of Form 16 in case of salary income (for last financial year)	Should have a gross salary of more than Rs. 1.2 lakh
Net worth certificate (latest one or at the end of last financial year)	Should be dated and have a minimum value of Rs. 1.2 lakh
Salary Slip (for one month in current financial year)	Gross Salary should be more than Rs. 10,000
Bank account statement for last 6 months*	There should be balance atleast on a single day of more than equal to Rs. 10,000/-
Copy of demat account Holding statement. (not more than 3 months old) **	The statement should display the value of securities lying in the demat account. The total value should be more than Rs. 10,000/-
Life insurance policy	(any insurance co. with minimum cover of two lakh)
KVP,NSC,IVP & Bonds	Should be valid as on date of submission and have a minimum value of Rs. 10,000/-
Bank FD's, Corporate FD's	Should be valid as on date of submission and have a minimum value of Rs. 10,000/-
Mutual Fund Statement	Statement should not be more than 2 months old. The latest NAV and value should be more than Rs 10,000/-
Ownership of assest such as Gold	Value as mentioned on the bill should be Rs. 10,000/-
Letter from Society	The letter should state ownership of the flat. It should be on the letter head of the society
RC book of the vehicle	List of acceptable documents

* Bank statement as downloaded from the net banking or xerox of physical statement be submitted

** incase of demat account statement where only holdings are mentioned without valuation, then the same should be manually mentioned on the date on submission should be self attested by the client or the employee of Depository Participant (DP).

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Application Form (Please tick relevant boxes):	☐ 2 in 1 form	☐ 1 in 1 form	☐ CDS
Scheme Type (Applicable for Trading)			
LG Code	LC Code	Company Code	Branch Code
Branch Name			

Branch Inward Stamp & Authorised Signatory with Stamp	CPU Inward Stamp & Authorised Signatory with Stamp

FOR OFFICE USE ONLY			
FCU done by			
DVU done by			

INDEX for HSL Trading Account Opening

HSL Registered Office Address: I Think Techno Campus, Building - B, "Alpha", Office Floor 8, Kanjurmarg (East), Mumbai - 400042. Ph.: (022) 3075 3400 Fax : (022) 3075 3435 Website: www.hdfcsec.com (CIN - U67120MH2000PLC152193)

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HSL Correspondence Office Address: Unit No 601, 6th floor, Lotus Park Plot No B 18 /19, Wagle Industrial Estate , MIDC Road No 16, Thane West 400604. Ph.: (022) 3901 9400 | Fax : (022) 2834 6690.	
HSL Compliance Officer Name, Phone No. & Email ID: Mr. Murli V Karkera, (022) 3045 3600, complianceofficer@hdfcsec.com	
HSL CEO/M.D. Name, Phone No. & Email ID: Mr. Dhiraj Relli, (022) 6741 9951, md@hdfcsec.com	

SEBI Registration No.	INZ000186937 (NSE, BSE, MSEI, MCX)
NSE Trading Member Code	11094
BSE Clearing No.	393
MSEI Trading Member Code	30000
MCX Member Code	56015
AMFI Reg No.	ARN -13549
PFRDA Reg. No.	POP 11092018
IRDA Corporate Agent Licence No.	CA0062
Research Analyst Reg. No.	INH000002475
Investment Adviser	INA000011538
CIN	U67120MH2000PLC152193

For any grievance/dispute please contact HDFC Securities Ltd. at the above address or email id-services@hdfcsec.com and Phone no.: (0+local area code + 3901 9400) and for escalation you may write to us at services@hdfcsec.com.

In case not satisfied with the response, please contact concerned exchanges BSE at is@bseindia.com and Phone no. 022 2272 8097 and NSE at ignse@nse.co.in and Phone No. 022 2659 8190

HDFC Securities is registered as a trading cum clearing member in all exchanges

Please note that HDFC Securities has a proprietary trading desk. This desk maintains an arm's length distance with the Research team and all its activities are segregated from Research activities. The proprietary desk operates independently, potentially leading to investment decisions that may deviate from research views.

HSL RUNNING ACCOUNT AUTHORISATION

I confirm that my account may be maintained on a running account basis, whereby instead of paying any amounts or securities (lying in Client Collateral Account / Collateral Account) representing mark to market profits, you shall retain, withhold, set-off and / or appropriate the same for such purposes and in such manner as you deem fit and release the funds and / or securities (lying in Client Collateral Account / Collateral Account) due to me, on my specific request, either written or oral. I am aware that I have the right to amend or revoke this authorisation, at any time, by way of an amendment / revocation letter.

I confirm that you may settle the account once every calendar

☐ **Quarterly or** ☐ **Monthly**

S1 Authorised Signatory
Sign with Company Seal

HSL OTHER CONSENT

- As a subscriber to the services offered by HDFC securities Ltd. I hereby instruct HDFC securities Ltd. to provide the following communications through the medium of short messages services and / or telephone calls and / or Whatsapp messages on my registered phone number(s): (a) Research and investment ideas whether developed in-house and / or by outsourced agencies; (b) Market related alerts; (c) Offers or subscription to new products / services from time to time; (d) Account and trading related information and other notifications pursuant to the services provided under the captioned agreement; (e) Information relating to investment products and services; (f) Any other service to aide in wealth creation process.

(Do not sign if you not wish to receive commercial calls and / or messages)

S2 Authorised Signatory
Sign with Company Seal

HSL CONSENT FOR ADDITIONAL RIGHTS & OBLIGATIONS / TARIFF SHEET

- I acknowledge and declare that I have received in Physical / Electronic, read and understood, acknowledged and agreed to the contents of –
  1. the rights and obligations document [PART B-page no. 1],
  2. the risk disclosure document [PART B-page no. 7],
  3. the policies and procedures [PART B-page no. 14],
  4. the additional rights and obligations document [PART C-page no. 1].
  5. Payment instruction for charges
  6. Tariff Sheet (Part B- page no. 19)

A/C opening charges ____ Currency Derivatives segment charges ____ Total ____

Do not Sign This Form if its Blank. Please Ensure all relevant sections and documents are completely filled to your satisfaction and then only sign the form

Payment Mode	☐ Direct Debit from my linked HDFC Bank A/C ☐ None

S3 Authorised Signatory
Sign with Company Seal

Name:

Date: D D M M Y Y Y Y **Place:**

HSL CONSENT FOR MARGIN TRADING FACILITY (MTF)

I/we wish to avail the Margin Trading Facility (MTF). I/We confirm that I/we have received, read and understood, acknowledged and agreed to the Margin Trading Facility (MTF) Rights and Obligations as provided by HDFC Securities in PART C of the account opening document.

S4 Authorised Signatory
Sign with Company Seal

PART I
KNOW YOUR CLIENT (KYC) APPLICATION FORM (FOR NON-INDIVIDUAL/LEGAL ENTITY)

HDFC Bank Limited, Depository Services, Empire Plaza I, 4th Floor, Chandan Nagar, LBS Marg, Vikhroli West, Mumbai - 400083.
Registered Office: HDFC Bank House, Senapati Bapat Marg, Lower Parel, Mumbai - 13.

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UDN

All mandatory fields are marked in *. Please fill this form in ENGLISH and in BLOCK LETTERS. Strike off whichever is not applicable" and "For any corrections/ overwriting kindly do counter sign. Select appropriate check box wherever applicable. Whitener should not be used for correction

KYC No. (if Available)

A. Identity Details

Name of the Applicant*:

Date of Incorporation*: D D M M Y Y Y Y **Date of Commencement of Business*:** D D M M Y Y Y Y

Place of Incorporation*: **Country of Incorporation***

PAN*: **TIN Or Equivalent Issuing Country**

Registration No. e.g (CIN)*

TIN Reg No:

Legal Entity Identifier (LEI)

Status*: (please tick any one)
☐ Private Ltd Co. ☐ Public Ltd Co. ☐ Partnership Firm ☐ LLP ☐ Society ☐ Trust ☐ AOP ☐ Bank ☐ FPI
☐ Govt Body ☐ Foreign Body Corporate ☐ AIF ☐ HUF ☐ BOI ☐ Non Govt Org ☐ FI ☐ FII
☐ Defence Establishment ☐ Other (Please Specify ____________________)

B. Address Details

Address for Correspondence*

Landmark *

City/Town/Village* State

Country* **Pin Code ***

Specify the proof of address submitted for Correspondence address:

Contact Details Tel. Office: Fax. No.:
Country code STD CODE TEL

Mobile No. 1 * Mobile No. 2:
Country code Mobile No. Country code Mobile No.

Email ID 1 *

Registered Address (if different from above) **Same as above** ☐ Yes ☐ No

Landmark *

City/Town/Village *

Country* State

Pin Code *

Specify the proof of address submitted for registered address:

Declaration

- I/ We declare that the details furnished above are true and correct to the best of my knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I /Weam/are aware that I may be held liable for it.
- I/We Hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number / email address.

S5

Authorised Signatory Sign with Company Seal	Authorised Signatory Sign with Company Seal	Authorised Signatory Sign with Company Seal

Date: D D M M Y Y Y Y Place:____________________

FOR OFFICE USE ONLY

*Originals Verified and self attested document copies received
KYC verification for Legal Entity and related person carried out by
Name & Emp Code of Bank Staff____________________

____________________ ____________________
Signature of the Authorised Signatory

Designation: ____________________ Br. Code

Date: D D M M Y Y Y Y

Name of the organisation/Institution: **HDFC Bank Ltd.**

Seal/Stamp of the intermediary

Note: For HSL Trading Account, name of the customer will be consider as name available in income tax database

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No. of related person

UDN

DETAIL OF RELATED PERSON 1

KYC Number of Related Person (If available)

Related Person Type: ☐ Director# ☐ Promoter ☐ Karta ☐ Trustee ☐ Partner ☐ Court Appointment Official ☐ Proprietor ☐ Beneficiary ☐ Authorised Signatory ☐ Beneficial Owner ☐ Power of Attorney Holder ☐ Other (Please Specify ______________)

DIN (Director Identification Number)# (Mandatory if Related Person Type is Director)

PERSONAL DETAILS

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID Proof)				
Father / Spouse Name*				
Mother Name*				
Maiden Name (If available)				

Date of Birth* D D M M Y Y Y Y Gender* ☐ Male ☐ Female ☐ Third Gender

Nationality* PAN* ☐ Form 60 Furnished

Politically Exposed Person: ☐ Yes ☐ No

Politically Exposed Persons" (PEPs) are individuals who have been entrusted with prominent public functions by a foreign country, including the heads of States or Governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations and important political party officials

Related To Politically Exposed Person ☐ Yes ☐ No

PROOF OF IDENTITY AND ADDRESS

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

☐ A-Passport ☐ B-Voter ID Card ☐ C-Driving Licence ☐ D-NREGA Job Card

☐ E-National Population Register Letter ☐ F-Proof of Possession of Aadhaar with Non-DBT Aadhaar consent

ID Card No Expiry Date D D M M Y Y Y Y

II. eKYC Authentication

III Offline verification of Aadhaar X X X X X X X X

Please affix your recent passport size photograph

Signature across with seal

Correspondence Address*

Flat No & Bldg Name

Road No/Name*

Landmark / Area

City / Town* Pin Code

State* Country

Email ID*

Mobile No.*

Permanent Address* ☐ Please tick, if permanent address is same as correspondence address

Flat No & Bldg Name*

Road No/Name*

Landmark / Area*

City / Town* Pin Code

State* Country

Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and i undertake to inform you of any changes therein, immediately, in case any of the above information is found to be false or untrue or misleading or misrepresneting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registred number/email address.
- I hereby agree that the Bank reserves the right to close the account, in case, the aforesaid declaration is found to be untrue. In the event of any change in this declaration and/or if I subsequently become a Politically Exposed Person(s) or a relative of a Politically Exposed Person, after the opening of account, I hereby agree to promptly inform the Bank regarding the same and forthwith submit the relevant Politically Exposed Person (PEP) declaration form at an HDFC Bank branch offering DP Services.

S6
Authorised Signatory Sign with Company Seal

Date: D D M M Y Y Y Y

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UDN

DETAIL OF RELATED PERSON 2

KYC Number of Related Person (If available)

Related Person Type ☐ Director# ☐ Promoter ☐ Karta ☐ Trustee ☐ Partner ☐ Court Appointment Official ☐ Proprietor
☐ Beneficiary ☐ Authorised Signatory ☐ Beneficial Owner ☐ Power of Attorney Holder ☐ Other (Please Specify ______________)

DIN (Director Identification Number)# (Mandatory if Related Person Type is Director)

PERSONAL DETAILS

Prefix | First Name | Middle Name | Last Name

Name* (Same as ID Proof)

Father / Spouse Name*

Mother Name*

Maiden Name (If available)

Date of Birth* D D M M Y Y Y Y Gender* ☐ Male ☐ Female ☐ Third Gender

Nationality* PAN* ☐ Form 60 Furnished

Politically Exposed Person: ☐ Yes ☐ No

Politically Exposed Persons" (PEPs) are individuals who have been entrusted with prominent public functions by a foreign country, including the heads of States or Governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations and important political party officials

Related To Politically Exposed Person ☐ Yes ☐ No

PROOF OF IDENTITY AND ADDRESS

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

☐ A-Passport ☐ B-Voter ID Card ☐ C-Driving Licence ☐ D-NREGA Job Card

☐ E-National Population Register Letter ☐ F-Proof of Possession of Aadhaar with Non-DBT Aadhaar consent

ID Card No Expiry Date D D M M Y Y Y Y

II. eKYC Authentication

III Offline verification of Aadhaar X X X X X X X X

Please affix your recent passport size photograph

Signature across with seal

Correspondence Address*

Flat No & Bldg Name

Road No/Name*

Landmark / Area

City / Town* Pin Code

State* Country

Email ID*

Mobile No.*

Permanent Address* ☐ Please tick, if permanent address is same as correspondence address

Flat No & Bldg Name*

Road No/Name*

Landmark / Area*

City / Town* Pin Code

State* Country

Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and i undertake to inform you of any changes therein, immediately, in case any of the above information is found to be false or untrue or misleading or misrepresneting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registred number/email address.
- I hereby agree that the Bank reserves the right to close the account, in case, the aforesaid declaration is found to be untrue. In the event of any change in this declaration and/or if I subsequently become a Politically Exposed Person(s) or a relative of a Politically Exposed Person, after the opening of account, I hereby agree to promptly inform the Bank regarding the same and forthwith submit the relevant Politically Exposed Person (PEP) declaration form at an HDFC Bank branch offering DP Services.

S6
Authorised Signatory Sign with Company Seal

Date: D D M M Y Y Y Y

VERSION NO : Corporate AOF 2 in 1 Form 10.00 Dated 01-September-2025

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DETAIL OF RELATED PERSON 3

KYC Number of Related Person (If available)

Related Person Type: ☐ Director# ☐ Promoter ☐ Karta ☐ Trustee ☐ Partner ☐ Court Appointment Official ☐ Proprietor ☐ Beneficiary ☐ Authorised Signatory ☐ Beneficial Owner ☐ Power of Attorney Holder ☐ Other (Please Specify ______________)

DIN (Director Identification Number)# (Mandatory if Related Person Type is Director)

PERSONAL DETAILS

Prefix | First Name | Middle Name | Last Name

Name* (Same as ID Proof)

Father / Spouse Name*

Mother Name*

Maiden Name (If available)

Date of Birth* D D M M Y Y Y Y Gender* ☐ Male ☐ Female ☐ Third Gender

Nationality* PAN* ☐ Form 60 Furnished

Politically Exposed Person: ☐ Yes ☐ No

Politically Exposed Persons" (PEPs) are individuals who have been entrusted with prominent public functions by a foreign country, including the heads of States or Governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations and important political party officials

Related To Politically Exposed Person ☐ Yes ☐ No

PROOF OF IDENTITY AND ADDRESS

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

☐ A-Passport ☐ B-Voter ID Card ☐ C-Driving Licence ☐ D-NREGA Job Card

☐ E-National Population Register Letter ☐ F-Proof of Possession of Aadhaar with Non-DBT Aadhaar consent

ID Card No Expiry Date D D M M Y Y Y Y

II. eKYC Authentication

III Offline verification of Aadhaar X X X X X X X X

Please affix your recent passport size photograph

Signature across with seal

Correspondence Address*

Flat No & Bldg Name

Road No/Name*

Landmark / Area

City / Town* Pin Code

State* Country

Email ID*

Mobile No.*

Permanent Address* ☐ Please tick, if permanent address is same as correspondence address

Flat No & Bldg Name*

Road No/Name*

Landmark / Area*

City / Town* Pin Code

State* Country

Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and i undertake to inform you of any changes therein, immediately, in case any of the above information is found to be false or untrue or misleading or misrepresneting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registred number/email address.
- I hereby agree that the Bank reserves the right to close the account, in case, the aforesaid declaration is found to be untrue. In the event of any change in this declaration and/or if I subsequently become a Politically Exposed Person(s) or a relative of a Politically Exposed Person, after the opening of account, I hereby agree to promptly inform the Bank regarding the same and forthwith submit the relevant Politically Exposed Person (PEP) declaration form at an HDFC Bank branch offering DP Services.

S6

Authorised Signatory Sign with Company Seal

Date: D D M M Y Y Y Y

VERSION NO : Corporate AOF 2 in 1 Form 10.00 Dated 01-September-2025

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PART II (A): APPLICATION FOR OPENING DEPOSITORY ACCOUNT (FOR NON-INDIVIDUAL/LEGAL ENTITY)

HDFC Bank Limited, Depository Services, Empire Plaza I, 4th Floor, Chandan Nagar, LBS Marg, Vikhroli West, Mumbai - 400083.
Registered Office: HDFC Bank House, Senapati Bapat Marg, Lower Parel, Mumbai - 13.

UDN

Please tick mandatorily ☐ NSDL ☐ CDSL

UCC

Exchange Name & ID

Client ID
(To be filled by DP)

Date

We request you to open a depository account in our name as per the following details: (Please fill all the details in CAPITAL LETTERS only)

Details of A/C holders*

Entity/HUF/First Holder Name

PAN UCIC ID

Second Holder Name

PAN UCIC ID

Third Holder Name

PAN UCIC ID

GST Identification Number

Type of Account*

☐ Body Corporate ☐ FI ☐ FII ☐ Bank ☐ Mutual Fund ☐ TRUST ☐ CM

☐ Qualified Foreign Investor ☐ HUF ☐ Other (Please specify)____________________

In case of Companies ☐ Companies having close family shareholdings or beneficial ownership ☐ Companies offering Foreign exchange offerings

In case of FIIs/Others (as may be applicable) ☐ Non face to face clients

RBI Approval Reference Number Date

SEBI Registration Number (for FIIs)

For Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., although the account is opened in the name of partner(s), trustee (es) etc., the name & PAN of the Partnership Firm Unregistered Trust, Association of Persons (AOP) etc., should be mentioned below:

Name

PAN Number

Financial Details*

Gross Annual Income Details:
(Income Range per annum)

NSDL Below Rs. 20 lacs ☐ Rs. 20 lacs to Rs. 50 lacs ☐ Rs. 50 lacs to Rs. 1 crore ☐ More than Rs. 1 crore ☐

CDSL Below Rs. 1 lac ☐ Rs. 1 lac to Rs. 5 lacs ☐ Rs. 5 lac to Rs. 10 lac ☐ Rs. 10 lacs to Rs. 25 lacs ☐

Rs. 25 lacs to Rs. 1 crore ☐ More than Rs. 1 crore ☐

Net Worth Amount (₹____________________) As on date D D M M Y Y Y Y
(Net worth should not be older than 1 year)

Please tick, if applicable, for any of your authorized signatories/ Promoters/Partners/Karta/Trustees/whole time directors: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

Bank Details* (Mandatory for the Sole/First Demat account holder for receiving credit of Dividend/Interest)

Bank Account Type: ☐ Saving Account ☐ Current Account ☐ Other (please specify)____________________

Bank Account No.

RTGS / NEFT / IFSC Code:

MICR Code : (Please attach photocopy of Blank / Cancelled Cheque to verify the 9 digit MICR code (For non HDFC Bank A/C's only)

Bank Name :

Branch Address : **Branch Code**
Building/Tower/Apartment/
Palace/Chamber/Mansion/
Street/Road/Marg/Lane/
Avenue/Rasta

City/Town/Village

State **Pin Code** (Mandatory)

Country

UDN

Clearing Member Details (To be filled by clearing member only)

1 Name of stock exchange

2 Name of Clearing Corporation / Clearing House

3 Clearing Member ID

4 Trading Id (for CDSL only)

5 Clearing Corporation ID (For CDSL only)

6 SEBI registration no

7 Trade Name

8 CM-BP-ID (to be filled by participant)

Standing Instructions*

We authorise you to receive credits automatically into our account. Yes No Account to be operated through General Power of Attorney (POA) Yes No

Account to be operated through Demat Debit and Pledge Instruction (DDPI) Yes No

SMS Alert facility Sole/First Holder Yes No Second Holder Yes No Third Holder Yes No

Auto Pledge Confirmation Yes No I / We would like to share the email ID with the RTA Yes No

Mode of receiving Statement of Account* (Tick any one) Physical Form Electronic Form

Mode of Annual Reports, AGM notice and other communication from issuer Physical Form Electronic Form Both (for CDSL only)

HUF DECLARATION FORMAT FOR HUF ONLY (If applicable)

Name of HUF (A)	
Name of KARTA (B)	
PAN of KARTA	
Contact No. of KARTA	
Email ID of KARTA	

As our HUF wishes to open an account with the HDFC Bank Limited / HDFC Securities Limited. in the name as mentioned in (A). We beg to say that the first signatory to this letter as mentioned in (B) is the KARTA of the Joint Family and other signatories are the adult Co-Parceners (C) of the said family. We further confirm that the business of the said joint family is carried on mainly by the said KARTA as also by the other signatories hereto in the interest and for the benefit of the entire body of Co-Parceners of the joint family. We all undertake that claims due to the bank from the said family shall be recoverable personally from all or any of us and also for the entire family properties of which the first signatory is the KARTA, including the share of the minor Co-Parceners. In view of the fact that ours is not a firm governed by the Indian Partnership Act of 1952. We have not got our said firm registered under the said act. We hereby undertake to inform the HDFC Bank Limited / HDFC Securities Limited of the death or birth of a Co-Parcener of any change occurring at any time in the membership of our joint family during the continuity of the account.

Particulars of Coparcener (C)	Coparcener 1 Member (Mandatory)	Coparcener 2 Member (Mandatory)	Coparcener 3 Member (Mandatory)
Name			
Gender	Male Female Third Gender	Male Female Third Gender	Male Female Third Gender
Date of Birth	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
Relationship with Karta			
Age	Yrs.	Yrs.	Yrs.
PAN (For Broker)			
Address (For Broker)			
Tel. No. (For Broker)			
Mobile (For Broker)			
Email ID (For Broker)			
UID (For Broker)	X X X X X X X X	X X X X X X X X	X X X X X X X X
Signatures (For Broker)			
Sign & Stamp of HUF / Karta			

HDFC BANK

UDN

Terms & Conditions

1. I/We agree to discontinue the Physical Statements in case electronic form option is opted.
2. I/We understand that the email statements are for my/our convenience. HDFC Bank shall not be liable or responsible for any breach of secrecy because the statements are being sent to the email ID.
3. I/We shall verify the authenticity of the emails I/We receive. I/We shall not hold the Bank responsible for any statement received from frauds/imposters. I/We shall not hold the Bank liable if any problem arises with my/our computer network because of me/ us receiving statements from the Bank.
4. I/We are authorised by the other holders to receive the Statements to the email address.
5. I/We shall inform the Bank in writing if there is any change in the email address.
6. The Bank shall not be responsible if I/we do not receive statement due to incorrect email address and technical reasons.
7. I/We confirm to have read and understood the Terms & Conditions (a copy of which I am in possession of) pertaining to my account.
8. I/We understand and agree that the email statements will only be sent to the First holder in the account.
9. I/We am/ are aware that I / we will not receive the transaction statements in paper form.
10. I / We will take all necessary steps to ensure confidentially and secrecy of the login name and password of internet / email Account.
11. I / We am / are aware that the transaction statement be accessed by other entities in case the confidentiality / secrecy of the login name and password is compromised.
12. Participant or Client can terminate such arrangement by giving 10 days prior notice.

Declaration

The Rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, we are aware that we may be held liable for it.

I/we acknowledge the receipt of copy of the document, “Rights and Obligations of the Beneficial Owner and Depository Participant

Debit Authorisation*

Authorised for Debiting the Current Account & Undertaking for the Payment of Interest In case of occurrence of Debit Balances (Corporate /CM)

We, ____

a company incorporated and registered under the laws of, its Registered Office at ______________________________, having ________________

____

____

_________(hereinafter) referred to as the ”Company” which expression small, unless it be repugnant to the Context or meaning thereof, mean and including its successors in title) maintain a Current Account (the Current Account) with HDFC Bank Limited., a Banking company incorporated and registered under the Companies Act, 1956 and having its Registered Office at HDFC Bank House, Senapati Bapat Marg, Lower Parel, Mumbai 400 013. hereinafter called ”the Bank” (which expression shall unless it be repugnant to the context or meaning thereof mean and include its successors in title) at its____________________________ ____________________________(Name and Address of the Branch) and

the Current Account No. is | | | | | | | | | | | | | | |

The Company hereby authorise the Bank to debit all types of Bank charges / commission / fees (”Service Charges”) payable by me / us to the Current Account. The Company hereby undertake that sufficient balances shall be maintained in the Current Account to facilitate the debiting of Service Charges. The failure on part of the Company to maintain sufficient balance in the Current Account shall not any way impair the right of the Bank to debit the Service Charges. The Company hereby further authorise the bank to charge any interest on debit balance in the Current Account due to the debiting of Service Charges. The Bank shall not be obliged to provide overdraft facility on the Current Account but for towards the debiting of Service Charges payable by the company. The Company specifically agrees and confirm that any matter or issue arising hereunder shall be governed by and construed exclusively in accordance with the Indian laws and shall be subject to the jurisdiction of the courts of Mumbai in India.

I / We hereby confirm the bank shall have a lien and right of set off on all monies belonging to me / us standing to my / our credit in any account whatsoever with the bank and authorize the bank without reference to me / us to appropriate the same towards satisfaction of the service charges or any other charges due and payable by me / us.

Mode of Operation for Sole/First Holder*

(In case of joint holdings, all the holders must sign. In case of HUF, Karta's signature required with HUF Stamp)

☐ Any one singly / Karta of HUF	
☐ Jointly by	
☐ As per resolution	
☐ Others (please specify)	

Notes:

1. In case of additional signatures, separate annexures should be attached to the application form. 2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate. 3. For receiving Statement of Account in electronic form: I. Client must ensure the confidentiality of the password of the email account. II. Client must promptly inform the Participant if the email address has changed. III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice. 4. Strike off whichever is not applicable.

For Branch Use	For DPCPU USE
Sign verified by Branch Officer: **Name:**______________ **EMP Code:**_________ Signature Branch Seal	Rate ID ☐☐☐ Bk. Sys. ☐☐☐ Group ID ☐☐☐☐ Br. Code ☐☐☐☐☐ Client ID ☐☐☐☐ Prod. Code ☐☐☐☐☐ RISK CATEGORY **1st holder** ☐ High ☐ Medium ☐ Low **2nd holder** ☐ High ☐ Medium ☐ Low **3rd holder** ☐ High ☐ Medium ☐ Low

HDFC BANK

UDN

Fee Schedule for HDFC Bank Corporate Demat Accounts (NSDL & CDSL)

Sr. No.	Fee Head	Type	Standard Program Pricing	
			Fees	Min
1.	Account Opening Charges		NIL	
2.	Credit Transactions	-	NIL	
3.	Debit Transactions Equity / Debt / Mutual Funds (Market / Off Market) Pledge Services (Closure / Creation / Invocation)		0.04% of the value of the txn. (Max Rs 4,999/-) Inclusive of NSDL / CDSL actual debit transaction charges	Rs. 30/- Inclusive of NSDL / CDSL actual debit transaction charges
4.	Margin Pledge (Creation / Invocation / Closure)		Rs. 20/- per instruction	
5.	Dematerialisation	Certificate + Dematerialisation Request	Rs. 5/- per Certificate + Rs. 35/- per request	Rs.40/-
6.	Rematerialisation	Rematerialisation Request	Rs.30/- per request + NSDL / CDSL actuals, Currently a) Rs. 10/- for every hundred securities or part thereof; or b) Flat fee of Rs. 10/- per certificate whichever is higher	Rs.40/- (min) Rs.5,00,000 (max.)
7.	Reissuance of Delivery Instruction Booklet (DIB)		Rs.100/- per booklet	
8.	Courier / Postal Charges only (Adhoc Statement)	Inland Address	Rs.35/- per request	
		Foreign Address	Rs.500/- per request	
9.	Annual Maintenance Charges	AMC	Rs 1,500/- p.a. (Rs 750/- p.a. for HUF)	

Common Clauses :

- Customers who have a banking relationship with HDFC Bank to provide a debit authorisation for the recovery of service charges.
- The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable.
- Incase you are applicable for submission of GSTIN details, please provide details in a separate "GST Annexure". GST Annexure is available on our website (www.hdfcbank.com >> Form Center>> Demat Tab >> GST Annexure)
- All charges / service standards are subject to revision at the Bank's sole discretion at any given point of time and the same shall be communicated to the customers with a notice of 30 days.
- Customers having only a Depository relationship will be required to maintain a balance of Rs 15,000/- in an account maintained by Bank for each Demat account.The Customer also need to replenish the balances in the said account immediately if and when it falls below Rs.5,000/-.
- The Annual Maintenance Charges are levied, in advance, for a period of one year at the beginning of the billing cycle. For the computation of AMC for Managed Program, the transactions for the previous year will be evaluated, and basis the number of transactions done by the customer, AMC will be levied as per the transaction slabs defined. (For more details of Managed program, kindly refer our website www.hdfcbank.com)
- The value of the transaction will be in accordance with rates provided by Depositories (NSDL / CDSL)
- The transaction charges will be payable monthly. The charges quoted above are for the services listed. Any service not quoted above will be charged separately.
  I. Debit transaction charges are inclusive of NSDL / CDSL actuals which are ₹4.00 / ₹ 3.50 per debit instruction respectively.
  II. CDSL discounts on Debit transactions are on mutual funds & bonds, a discount of ₹ 0.25 per debit transaction.
- The operating instructions for the joint accounts must be signed by all the holders.
- All instructions for transfer must be received at the designated DP servicing branches of the Bank at least 24 hours before the execution date.
- In case of non- recovery of service charges due to inadequate balance in your linked bank account or inadequate advance fees or invalid bank account, the Depository services for your account will be temporarily discontinued. The services will be resumed in a minimum of three working days from the date of receipt of request with HDFC Bank and post payment of all outstanding dues towards Depository charges.
- In case the Demat accounts are with nil balances / transactions or incase if the customer defaults in payment of AMC, the physical statement shall not be sent to the customer after period of 1 year. However the electronic statement of holding will be sent only to the customers whose email IDs are registered for e-statement.
- The Depositories have started dispatching Consolidated Account Statement (CAS) to the customers w.e.f. March 2015, hence despatch of physical statements will be discontinued.
- Your Transaction cum Billing statement will be available on NetBanking under Demat tab by second week of every month with option to view.
- Effective 01July'2020 stamp duty charges would be collected on consideration amount of OffMarket transfer / Pledge invocation instruction, before execution of request.
- Transactions from Promoter / Director account under NDU arrangement would be charged with actuals levied by NSDL / CDSL as per pledge instructions.

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories. In case of HUF details of Karta to be given)

Acknowledgment / Consent For Additional Rights & Obligations

- I acknowledge and declare that I have received, read, understood and agree to the contents of :
  1. Rights and obligations of beneficial owner and Depository participant as prescribed by SEBI and Depositories; 2. Demat Standard tariff sheet
  3. Debit Authorisation and Declaration 4. Aadhaar 5. Financial Details 6. FATCA Declaration 7. Additional R&O(DDPI) 8.Annexure O

Sole/First Holder	Name	Signature(s)
First Signatory / Karta of HUF		S7
Second Signatory		
Third Signatory		
Other Holders		
Second Holder		
Third Holder		

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TRADING ACCOUNT RELATED DETAILS - PART II (B)

UDN

Name of the Applicant:

BANK AND DEPOSITORY ACCOUNTS DETAILS:

Bank Name: ☐ HDFC Bank A/C ☐ Other Bank Name____________________

Bank A/C No. Account Type: ☐ Savings ☐ Current

IFSC Code MICR Number:

Branch Address Branch Code

DP Name

Client ID (BO ID) DP ID: I N

Client ID (BO ID) Depository Name (tick one) ☐ NSDL ☐ CDSL

TRADING PREFERENCES:

Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

Segments / Exchanges	NSE	BSE	MCX
Cash Market/Mutual Fund	S8 X Signature of Client	S9 X Signature of Client	**NA**
Futures & Options	S10 X Signature of Client	**NA**	**NA**
Currency Derivatives	S11 X Signature of Client	**NA**	**NA**
Commodity Derivatives	**NA**	**NA**	S12 X Signature of Client

If you do not wish to trade in any of segments / Mutual Fund, please mention here ______________________________.

RISK DISCLOSURES ON DERIVATIVES

a) 9 out of 10 individual traders in equity Futures and Options Segment, incurred net losses.
b) On an average, loss makers registered net trading loss close to Rs 50,000.
c) Over and above the net trading losses incurred, loss makers expended an additional 28% of net trading losses as transaction costs.
d) Those making net trading profits, incurred between 15% to 50% of such profits as transaction cost.

Source: 1. SEBI study dated January 25, 2023 on “Analysis of Profit and Loss of Individual Traders dealing in equity Futures and Options (F&O) Segment”, wherein Aggregate Level findings are based on annual Profit/Loss incurred by individual traders in equity F&O during FY 2021-22.

If in future, the client wants to trade on any new segment / new exchange, seperate authorization / letter should be taken from the client by the stock broker, Please specify the proof submitted to avail the privileges to trade in derivatives segment: (Please refer last page of this application form)

##For MF Terms and Conditions refer to website hdfcsec.com and provide Indian address proof as per checklist

PAST ACTION: Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years: ☐ **No** **If yes, please specify:**

DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS: ☐ Not dealing, If yes, please mention details below:

Sub-Broker's Name: SEBI Registration number:

R. O. Address: Phone:______________Fax:___________ Website:______________

Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of Stock Broker: Name of Sub-Broker, if any:

Client Code: Exchange:

Details of disputes/dues pending from/to such stock broker/sub-broker:

UDN

ADDITIONAL DETAILS: I/we wish to receive ☐ Electronic Contract Note (ECN) **OR** ☐ Physical contract note (PCN)

E-mail ID for ECN

I wish to avail of the facility of internet trading/ wireless technology: ☐ Yes If No, Please Specify:________

Number of years of Investment/Trading Experience: ☐ No prior Experience Y Y Stock Y Y Derivatives

Y Y Other investment related field Any other information:

If employed please provide employer name

OTHER DETAILS (PLEASE SPECIFY)

*Gross annual income(₹) ☐ < 1 lac ☐ 1 to 5 lac ☐ 5 to 10 lac ☐ 10 to 25 lac ☐ > 25 lac

(Income range per annum)

AND Net worth (₹) as on date D D M M Y Y Y Y Net worth should not be older than one year

Occupation details (please tick any one below and give brief details) ________

☐ Public Sector ☐ Private Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist

☐ Retired ☐ Housewife ☐ Student ☐ Others (please specify) ________

Please tick, if applicable ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

Any other information ________

INTRODUCER DETAILS (Optional)

Name:

Status: ☐ Sub Broker ☐ Remisier ☐ Authorized Person ☐ Existing Clients ☐ Others, Please specify________

Address:

Phone:

I1

X Signature of Introducer

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, i.e www.hdfcsec.com

S13

Authorised Signatory with Company Seal

Place : D D M M Y Y Y Y

FOR OFFICE USE ONLY (LC to fill details and Sign)

UCC Code allotted to the Client:

Documents verified with Originals and In-Person Verification done by	Client Interviewed By
Employee Name	
Emp. Code Designation	Designation
Date D D M M Y Y Y Y	D D M M Y Y Y Y
Signature of Authorised Signatory	Signature of Authorised Signatory

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Date: D D M M Y Y Y Y

STAMP

X Signature of Authorised Signatory with Compant Seal

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Extended Declaration For Non-Individual Entities (FATCA Annexure)

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Date: D D M M Y Y Y Y Place:________________

All Questions from 1 to 5 are mandatory

1. Name of the entity:
2. Customer ID(Applicable for existing customer):
3. a. Country of incorporation:______________________ b. Place/City of incorporation:______________________

   c. Date of Commencement of Business D D M M Y Y Y Y
4. a. is the entity a tax resident of any country/ies outside India ☐ Yes (If Yes, please fill Annexure 1) ☐ No

   b. Is the entity incorporated or organized in the United States (including a Trust, if the trustee is a U.S. citizen or resident)

   ☐ Yes (If Yes, please fill Annexure 1) ☐ No

5. Questions relevant for entity FATCA and CRS classification

(Please consult your professional tax advisor for further guidance on tax residency and FATCA& CRS classification)

a. Is the entity a Governmental entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the above mentioned entity types ☐ Yes ☐ No (If yes, please sign the declaration on Page 2; If No, go to next question)

b. Is the entity a Financial Institution1 (FI) OR a Direct Reporting NFE (If Yes, please fill Annexure 1; If No, please go to next question) ☐ Yes ☐ No

c. Is the entity a publicly traded corporation/ a related entity of a publicly traded corporation

   i. Publicly traded corporation ☐ Yes ☐ No (If Yes, please specify any one stock exchange upon which the stock is regularly traded)
   Name of the stock exchange ______________________________

   ii. Related entity of a publicly traded corporation If Yes, please provide below details: ☐ Yes ☐ No
   Name of the listed company, the stock of which is regularly traded ______________________________
   Name of the stock exchange ______________________________
   Nature of relation: ☐ Subsidiary of the listed company ☐ Controlled by a listed company or under common control
   (If answer to Q.5(c)(Ir Q.5(c)(ii) above is Yes, please sign the declaration on Page 2; If No, go to next question)

d. Does the entity have any ultimate beneficial owners (incl. controlling persons) who are tax residents (incl. U.S. citizens/green card holders) of countries other than India (If yes, please fill Annexure 1) ☐ Yes ☐ No

Note: If the entity is not listed in any of the stock exchange, then please fill Annexure 2 (beneficial ownership declaration) mandatorily.

1 Including a Foreign Financial Institution

FATCA-CRS terms & conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with HDFC Bank or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

Certification Under penalty of perjury, I/we certify that:

- I/We understand that HDFC Bank is relying on this information for the purpose of determining the status of the account holder named above in compliance with FATCA/CRS. HDFC Bank is not able to offer any tax advice on FATCA or CRS or its impact on the account holder. I/we shall seek advice from professional tax advisor for any tax questions.
- I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.
- I/We agree that as may be required by domestic regulators/tax authorities, HDFC Bank may also be required to report, reportable details to CBDT or other authorities/agencies or close or suspend my account, as appropriate.
- I/We have understood the information requirements of this Form (read along with the FATCA/CRS Instructions and definitions in Part C to this Form) and hereby confirm that the information provided by me/us on this Form including the taxpayer identification number is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA Terms and Conditions below and hereby accept the same.

Name:

Designation:

Date: D D M M Y Y Y Y Place:____________________

Signature: S14 Authorised Signatory
Sign with Company Seal

For Bank use only:

Sourcing Branch Name: Branch Code:

Signature verified and form approved by BDA / BM employee Code :

Signature

Employee Name:

Date:

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FATCA : Annexure – 1

(This Annexure is in continuation to the "Extended Declaration for Entities" and is required to be filled based on responses in the main form)

PART A: Details required from all customers filling Annexure 1

Name of Entity

6. a) Identification Number (please provide any one) {Mandatory}

Select ID Type ☐ CIN ☐ Global Entity Identification Number ☐ TIN ☐ Other____________________

Provide the ID Number for above

b) Identification Number issuing country

Address and Contact Details (Mandatory)

7. Address for tax purpose: ☐ Same as registered Add ☐ Same as mailing Add

8. Address type for the above ☐ Residential or business ☐ Residential ☐ Business ☐ Registered Office

PART B: To be filled as applicable

9. Details of foreign tax residency and associated TIN (please fill if answer to Q.4(a) or Q.4(b) is YES):

Country/(ies) of tax residency	Tax Identification Number%	Identification Type (TIN or Other%, please specify)

In case Tax Identification Number is not available, kindly provide functional equivalent2

10. Is the entity a specified U.S. Person (please fill if answer to Q.4(b) is YES) ☐ Yes ☐ No (If No, please mention entity's exemption code3: ____)

11. Entity FATCA classification and other details (Mandatory)

11(A) - (to be filled by Financial Institutions or Direct Reporting NFEs)* - please fill if answer to Q.5(b) is YES			
	We are a: ☐ Financial institution4 OR ☐ Direct reporting NFE5 (please tick as appropriate) *If the entity is a Financial Institution and located outside India, please fill 11(B)	GIIN: ____________________ Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below: Name of sponsoring entity:	GIIN not available (please tick as applicable): Following options available only for Financial Institutions: ☐ Applied for ☐ Not required to apply for (Please specify sub-category__________) ☐ Not obtained

11(B) - (to be filled by Financial Institution that is a tax resident outside India) (Mandatory)		
1.	Whether the Financial Institution is located in a CRS jurisdiction? (Please refer to the list of signatories to CRS given in the following link http://www.oecd.org/tax/automatic-exchange/international-framework-for-the-crs/) (If Yes, Q 2 and Q3 are not required. If No, please go to Qs. 2)	☐ Yes ☐ No
2.	Whether FI is an 'Investment Entity'? (Please refer definition 1(iii) of Part C of the FATCA-CRS declaration) (If yes, please go to Qs. 3)	☐ Yes ☐ No
3.	The entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity and the gross income of the entity is primarily attributable7 to investing, reinvesting, or trading in financial assets.(If Yes, please additionally fill Annexure 2)	☐ Yes ☐ No

11© - (please fill ANY ONE as appropriate; to be filled by NFEs other than Direct Reporting NFEs) - please fill if answer to Q.5(d) is YES			
C1	Is the Entity an active NFE[8]	☐ Yes ☐ No	Please specify the sub-category of Active NFE:___________ (Mention code – refer 2c of Part C)
C2	Is the Entity a passive NFE[9] (If Yes, please fill Annexure 2)	☐ Yes ☐ No	

7 Entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:
(i) the three-year period ending on 31 March of the year preceding the year in which the determination is made; or
(ii) the period during which the entity has been in existence.
8 Refer 2c of Part C
9 Refer 3(ii) of Part C

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FATCA : Annexure – 2
Beneficial Owner Declaration For Company/Partnership/LLP/AOP/BOI/Trust)

1	Name of the controlling person (mandatory)		
2	Entity Type (mandatory)	☐ Pub/Pvt Co. ☐ LLP ☐ Partnership ☐ Trust ☐ Association ☐ Society ☐ Club ☐ HUF ☐ Bank ☐ Insurance ☐ SHG ☐ Foreign Bodies ☐ NGO ☐ Mutual Fund ☐ Government	
3	Controlling person type code (mandatory)		
4	Date of birth (mandatory)		
5	PAN (mandatory)		
6	Customer ID (if available)		
7	Percentage of ownership/capital/profits (mandatory)		
8	Place / City of Birth (mandatory)		
9	Country of Birth (mandatory)		
10	Gender (mandatory)	☐ Male ☐ Female ☐ Third Gender	☐ Male ☐ Female ☐ Third Gender
11	Marital Status (mandatory)	☐ Married ☐ Unmarried ☐ Others	☐ Married ☐ Unmarried ☐ Others
12	Father's name (mandatory)		
13	Nationality (Please specify country) (mandatory)		
14	Adhaar No (Optional)		
15	Mother's Name (optional)		
16	Maiden Name (if any)		
17	Country of tax residence* (Mandatory)		
18	Tax identification number (or functional equivalent of country other than India) %		
19	Tax identification number type (for country other than India)		
20	Address (Mandatory)		
	Address - City (Mandatory)		
	Address - State (Mandatory)		
	Address - Country (Mandatory)		
	Address - Pin Code (Mandatory)		
21	Address Type for above (Mandatory)	☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office	☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office
22	Mobile Number (Mandatory)		
23	Telephone Number (with ISD &STD code)		
24	Occupation Type (Mandatory)	☐ S - Service Provider ☐ O - Others ☐ B-Business ☐ X - Not Categorized	☐ S - Service Provider ☐ O - Others ☐ B-Business ☐ X - Not Categorized
25	Proof of Identity@ (Mandatory) (Tick relevant and mention the details)	☐ Passport No. ☐ Voter ID No. ☐ Driving License No. ☐ Aadhaar No. ☐ NREGA Job Card No. ☐ Any other Government Issued Doc Expiry Date Mention ID no	☐ Passport No. ☐ Voter ID No. ☐ Driving License No. ☐ Aadhaar No. ☐ NREGA Job Card No. ☐ Any other Government Issued Doc Expiry Date Mention ID no
26	Proof of Address (Mandatory) (attach self attested proof) (any one) (Please select any one Address proof)	☐ Passport No. ☐ Voter ID No. ☐ Driving License No. ☐ Aadhaar No. ☐ NREGA Job Card No. ☐ Any other Government Issued Doc	☐ Passport No. ☐ Voter ID No. ☐ Driving License No. ☐ Aadhaar No. ☐ NREGA Job Card No. ☐ Any other Government Issued Doc
27	Spouse's name (Optional)		

*To include US, where controlling person is a US citizen or green card holder. Please provide ALL the countries of tax residency and corresponding TINs.
%In case Tax Identification Number is not available, kindly provide functional equivalent @ Permissible values are:

- Passport – (With expiry date) • Election ID card • Driving License– (With Expiry Date) • PAN Card • UIDAI Letter • NREGA Job card • Others

Name of Director/Partner/Member/Trustee

S15

Signature & seal of any of the Directors/Partners/Members/Trustees

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Part C: FATCA-CRS Instructions & Definitions

UDN

1 **Financial Institution (FI)** - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

I **Depository institution:** is an entity that accepts deposits in the ordinary course of banking or similar business.

ii. **Custodial institution** isan entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributale to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of

a. The three financial years preceding the year in which determination is made; or

b. The period during which the entity has been in existence, whichever is less.

iii. **Investment entity is any entity:**

a. That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer

I Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instrumens; transferable securities; or commodity futures trading; or

ii. Individual and collective portfolio management; or

iii. Investing, administering or managing funds,money or financial asset or money on behalf of other persons ;

or

b. The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.
An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:

I the three-year period ending on 31 March of the year preceding the year in which the determination is made; or

ii. the period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.)

In case the entity confirms it is an FI and a tax resident outside India, an additional CRS declaration will need to be filled. Refer Annexure A

iv. **Specified Insurance Company:** Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

IA FIS not required to apply for GIIN:

Code	Sub-Category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executing Brokers
07	Exempt collective investment vehicle
08	Indian trust where the trustee is a reporting FI
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI (if you select this category, please provide Owner Reporting Statement or an Auditor's Letter with required details as mentioned in Form W8 BEN E)

2 Non-financial entity (NFE) – Any entity that is not a financial institution
Types of NFEs that are regarded as excluded NFE are:

a.. Publicly traded company (listed company)
A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

b. Related entity of a publicly traded company
The NFE is a related entity of an entity of which is regularly traded on an established securities market;

c. Active NFE : (is any one of the following):

Code	Sub-Category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;

Code	Sub-Category
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	**Any NFE that fulfills all of the following requirements:** It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; It is exempt from income tax in India; It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (I) an Investor Protection Fund referred to in clause (23EA); (II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

3 Other Definations

(i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

(a) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or

(b) an investment entity defined in clause 1(iii)(b) of these instructions which is not located in any of the jurisdictions specified by the Central Board of Direct Taxes in this behalf.

(c) not a withholding foreign partnership or withholding foreign trust;

(Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) Passive income

The term passive income includes income by way of :

(1) Dividends,

(2) Interest

(3) Income equivalent to interest,

(4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE

(5) Annuities

(6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income

(7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,

(8) The excess of foreign currency gains over foreign currency losses

(9) Net income from swaps

(10) Amounts received under cash value insurance contracts But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005.In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide RBI circular no. DBOD.AML.BC. No.71/14.01.001/2012-13 dated January 18, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

i. More than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;

ii. More than 10% of the capital or profits of the juridical person, where the juridical person is a partnership; or

iii. More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

iv. Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the Author/Settlor/Grantor of the trust and the trustee, and the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity ofsuch persons, through the identity of the Author/Settlor/Grantor of the trust and the trustee, and the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

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Note -Where no natural person is identified is the relevant natural person who holds the position of senior managing official

Type of Legal Entity	Controlling Person Type	Controlling Person Type Code	Permissible Values / Details
Partnership Firms / LLP	Owners / (Partners)	C01	C01 CP of legal person-ownership
	Senior Managing officials	C03	C03 CP of legal person-senior managing official
Pvt Ltd / Public Ltd Companies	Owners/ Directors	C01	C01 CP of legal person-ownership
	Senior Managing officials	C03	C03 CP of legal person-senior managing official
Society	Members / Commitee members	C01	C01 CP of legal person-ownership
	Senior Managing officials	C03	C03 CP of legal person-senior managing official
Association of Person	Owners (Members)	C01	C01 CP of legal person-ownership
	Others	C03	C03 CP of legal person-senior managing official
Trust	Trustee	C05	C05 CP of legal arrangement--trust-trustee
	Beneficiaries	C07	C07 CP of legal arrangement--trust-beneficiary
	Settler	C04	C04 CP of legal arrangement-trust-settlor
	Others	C08	C08 CP of legal arrangement--trust-other
Company Us 8/25	Owners/ Directors	C01	C01 CP of legal person-ownership
	Senior Managing officials	C03	C03 CP of legal person-senior managing official

A. Controlling Person Type:

Code	Sub-category
C01	CP of legal person-ownership
C02	CP of legal person-other means
C03	CP of legal person-senior managing official
C04	CP of legal arrangement-trust-settlor
C05	CP of legal arrangement--trust-trustee
C06	CP of legal arrangement--trust-protector
C07	CP of legal arrangement--trust-beneficiary

Code	Sub-category
C08	CP of legal arrangement--trust-other
C09	CP of legal arrangement—Other-settlor equivalent
C10	CP of legal arrangement—Other-trustee equivalent
C11	CP of legal arrangement—Other-protector equivalent
C12	CP of legal arrangement—Other-beneficiary equivalent
C13	CP of legal arrangement—Other-other equivalent
C14	Unknown

(v) Specified U.S. person– A U.S person other than the following:

(a) a corporation the stock of which is regularly traded on one or more established securities markets;

(b) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);

(c) the United States or any wholly owned agency or instrumentality thereof;

(d) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;

(e) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;

(f) any bank as defined in section 581 of the U.S. Internal Revenue Code;

(g) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;

(h) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);

(i) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;

(j) any trust that is exempt from tax under section 664© of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;

(k) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;

(l) a broker as defined in section 6045© of the U.S. Internal Revenue Code; or

(m) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Owner documented FFI

An FFI meets the following requirements:

(A) The FFI is an FFI solely because it is an investment entity;

(B) The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;

(C) The FFI does not maintain a financial account for any nonparticipating FFI;

(D) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and

(E) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 FFI, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated with holding agent isnot required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

(vii) Direct reporting NFE

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS

(viii) Exemption code for U.S. persons

Code	Sub-Category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan

UDN

>>>STAMP DUTY SECTION>>>

This document is voluntary. However, the same is required to be executed by the customers in order to avail of seamless trading platform with integrated bank account, demat account and broking account. If you do not wish to use the services of HDFC Securities Limited and HDFC Bank Limited, you need not execute such documents.)

Master Mandate Letter

I/We refer to the Mandatory Rights and Obligations prescribed by SEBI Non-mandatory Additional Rights and Obligations Confirmation dated _______________, 20______ ("Additional Rights and Obligations Confirmation") by the Client (as described below) ("Client") in favour of HDFC Securities Limited ("HDFC Securities").

The Client is desirous of investing and/ or trading in securities, making investments and entering into various transactions (hereinafter, for the sake of convenience, collectively referred to as "Securities") with or through HDFC Securities and for this purpose the Client has signed the account opening form together with the Mandatory Rights and Obligations prescribed by SEBI and Additional Rights and Obligations Confirmation by the Client in favour of HDFC Securities (hereinafter collectively referred to as the "Account Opening Documentation"). Under the terms of the Account Opening Documentation and SEBI regulations and circulars, the Client and the other account holders (described below) (hereinafter collectively referred to as the "Joint Account Holders") have agreed to execute this Master Mandate Letter, supplemented by (i) Demat Debit and Pledge Instruction in favour of [HDFC Securities] and (ii) mandate letter and instructions to HDFC Bank and HDFC Securities in respect of the Associated Accounts of which they are the holders.

It is understood and acknowledged by the Client and other account holders that this Master Mandate Letter is the principal letter of authority and instrument and the Demat Debit and Pledge Instruction and Mandate Letter are instructions for facilitating and completing transactions contemplated in the Account Opening Documentation.

I/We have perused the Account Opening Documentation and consent to the provisions thereof. The aforesaid mandate has been granted, the instructions herein have been given and the agreements herein contained have been agreed to by me/us in consideration of the Account Opening Documentation and the mutual rights, covenants and obligations thereunder.

This mandate may be revoked by the Client at any time, however, such revocation shall not affect the obligations of the Client outstanding at the time such revocation and the mandate and instructions granted hereunder shall continue until all transactions and instructions already executed or issued in pursuance of this mandate and all outstandings towards HDFC Securities or HDFC Bank have been settled.

This master mandate letter shall come into force upon its acceptance by HDFC Bank Ltd. and HDFC Securities Ltd.

I/We the Client and the other account holders have hereby executed this master mandate letter at ________________ on the ______ day of 20 ______

Signature & Name of holder (s)

S16 Signature of 1st (trading) holder	Signature of 2nd Demat holder (if any)	Signature of 3rd Demat holder (if any)	Signature of 2nd Bank holder (if any)	Signature of 3rd Bank holder (if any)
________	________	________	________	________

Accepted
For HDFC Bank Limited

Date: ____________ _______

Authorised Signatory

Place: ________________

Accepted
For HDFC Securities Limited

Date: ____________ _______

Authorised Signatory

Place: ________________

Demat Debit and Pledge Instruction

To
HDFC BANK Limited,
Depository Services, Empire Plaza I, 4th Floor, Chandan Nagar, LBS Marg, Vikhroli West, Mumbai - 400083.

ASSOCIATED DEMAT ACCOUNT DETAILS:

DP ID | | | | | | | | |

DP Account | | | | | | | | |

Customer / UCIC ID | | | | | | | | | | | | |

Depository | | | | | | | | | | |

Name of 1st holder	
Name of 2nd holder	
Name of 3rd holder	

Sr No.	Purpose	1st Holder Sign	2nd Holder Sign	3rd Holder Sign
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker - HDFC Securities Limited.	S17		
2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) - HDFC Securities Limited for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	S18		
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	S19		
4	Tendering shares in open offers through Stock Exchange platforms	S20		

Please note, pt. 3 & 4 will be applicable from 18-Nov-2022 as per SEBI circular SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2022/137 dated 06-Oct-2022

I/We the Client and the other account holders have hereby executed this Demat Debit and Pledge Instruction at ________________ on the ___________ day of 20 ________

List of HDFC Securities Ltd. demat accounts where securities can be moved w.e.f. October 01, 2019.

Name of the Depository Participant		HDFC Bank Ltd.	
NSDL		CDSL	
DP ID	CLIENT ID	DP ID	CLIENT ID
IN300126	11178642,11178157,11248012, 11302668, 11281903, 11281899	13012400	04524460, 00005785, 00004711, 06325921, 06069648, 06069652

Version 3.00 / 01-June-2024

Bank Mandate Letter and Instructions

1. I/We, the Client / Joint Account Holders, mandate and instruct HDFC Bank, acting through any of its officers or employees:

a. To block, hold and/or create / mark a lien, charge or hypothecation on one or more of the Associated Bank Accounts or all or any of the money therein including in the internal systems of the Bank, as HDFC Bank may deem fit and thereafter without any further instructions (this writing constituting my/our instructions to do so) to transfer all the said money to HDFC Securities or any account(s) of HDFC Securities specified in the schedule hereto or to such other accounts as may be specified on the website of HDFC Securities and by electronic mail otherwise or in accordance with the instructions of HDFC Securities.

b. To transfer any money from any Associated Bank Account(s) to HDFC Securities or any account(s) of HDFC Securities specified in the schedule hereto or to such other accounts as may be specified on the website of HDFC Securities and by electronic mail or otherwise for the following purposes:(i) towards stock exchange related margin/delivery and/or settlement obligations arising out of trades executed by me/us on the stock exchange through HDFC Securities;(ii) for recovering any outstanding amount due from me/us arising out of my/our trading activities on the stock exchanges through HDFC Securities;(iii) for meeting obligations arising out of my / our subscription to, units of Unit Trust of India or other mutual funds, government securities, negotiable instruments, certificates of deposits, participation certificates, commercial paper, bank deposits, fixed deposits, money market instruments, collective investment schemes or any other security or financial instrument, derivatives, including but not limited to equity derivatives, currency derivatives, interest rate derivatives, forwards, futures, swaps and options, and public provident fund, national savings schemes, new pension system / scheme and other savings schemes of Government of India or other undertakings, life insurance, general insurance or such other products / facilities / services offered and/or distributed by HDFC securities, from time to time or in connection with any transaction effected or entered into or proposed to be effected or entered into by HDFC Securities pursuant to the said Account Opening Documentation;(iv) towards monies/ fees/ charges, or the like due to HDFC Bank and/or HDFC Securities payable by virtue of my/ us using/ subscribing to any of the facilities/ services availed by me/ us. Provided further, that any funds that have been erroneously transferred to HDFC Securities / received by HDFC Bank, or that HDFC Securities or HDFC Bank were not entitled to receive, shall be re-transferred / returned to the associated account from which they were transferred.

c. To sign, deliver and/or acknowledge all instructions, forms, instruments, cheques, drafts, slips, receipts and other instruments and writings which are necessary or advisable for performing all or any of the mandate and instructions hereby conferred.

d. To apply for, purchase, redeem and/or sell in any other manner acquire or dispose ofunits of Unit Trust of India or other mutual funds, government securities, negotiable instruments, certificates of deposits, participation certificates, commercial paper, bank deposits, fixed deposits, money market instruments or other instruments of like nature, collective investment scheme or any other security or financial instrument, , currency derivatives, interest rate derivatives, and public provident fund, national savings schemes, new pension system / scheme and other savings schemes of Government of India or other undertakings, life insurance, general insurance or such other products/facilities/services, offered and/or distributed by HDFC Securities.

e. To receive and hold certificates, title documents, deposit receipts, account statements and other documents and writings pertaining to mentioned above and/or any amount invested, advanced or expended by me/us or on my/our behalf and to acknowledge receipt of the same

f. To sign and endorse all such application forms, transfer deeds, deposit receipts, redemption requests, negotiable and other instruments, contracts and other writings and do all such acts as may be required for all or any of the above purposes or otherwise in connection with any transaction effected or entered into or proposed to be effected or entered into by HDFC Securities pursuant to the said Account Opening Documentation. For this purpose HDFC Bank may act on the basis of any certificate or writing by HDFC Securities that any transaction is effected or entered into or proposed to be effected or entered into by HDFC Securities pursuant to the said Account Opening Documentation and the same will be binding on the Joint Account Holder(s) and any other person relying on this mandate and instructions.

g. To send a consolidated summary of my/our scrip-wise buy and sell positions taken with average rates by short message service or through email on a daily basis. PROVIDED THAT HDFC Bank shall exercise the mandate conferred only pursuant to instructions in that behalf given by the Client, which instructions may be given orally, over the telephone, through the internet, through a kiosk, electronically or in any other manner acceptable to HDFC Bank and such instructions given to HDFC Bank or HDFC Securities shall be admissible in evidence and shall not be questioned by me/us and shall be conclusive and binding against me/us. AND PROVIDED FURTHER THAT the aforesaid mandate and instructions may (at HDFC Bank's and/or HDFC Securities' option) be exercised by HDFC Bank on behalf of the Client alone or all or any of the Joint Account Holders, and any such exercise shall be binding upon all the Joint Account Holders. AND I/We the Joint Account Holders do mandate and instruct HDFC Bank to, from time to time and without requiring my/our further instructions or consent (this writing constituting my/our consent).

For the purpose of the above mandate and instructions, the Joint Account Holders are instructing HDFC Bank and HDFC Securities : (i) The Joint Account Holders of any Associated Bank Account(s)shall at all times make available sufficient funds in the Associated Bank Account(s) for the purpose of the transactions to be carried out pursuant to the Account Opening Documentation and shall not, without HDFC Securities prior written consent, close an Associated Bank Account and/ or Associated Demat Account or directly or indirectly operate or give instructions in respect of any Associated Account which may prejudice HDFC Securities rights under the Account Opening Documentation or the transactions undertaken thereunder or mandate exercisable by HDFC Bank hereunder or which would be contrary to the provisions of the Account Opening Documentation or any acts by HDFC Bank pursuant to the above. (ii) The Joint Account Holders of any Associated Bank Account(s) agree that (a) instructions given by the Client to HDFC Bank to block funds in or to transfer funds from an Associated Bank Account in connection with any transaction entered into and/or to be entered into by the Client with or through HDFC Securities will be given first priority over any other instructions or cheques (whether prior or subsequent) given or issued by any Joint Account Holder by itself or through any other attorney,(b) funds once blocked on the instructions of the Client in connection with any transaction entered into and/or to be entered into by the Client with or through HDFC Securities can be released only with the express written consent of HDFC Securities to HDFC Bank; and(c) if the Client has given any blocking, holding, debit or other instructions in respect of any money in any Associated Bank Account, in connection with any transaction entered into and/or to be entered into by the Client with or through HDFC Securities, and HDFC Securities receives transfer, debit or other instructions in respect of such money from any Joint Account Holder or any other person, then HDFC Bank shall first give effect to the first mentioned instructions and shall also transfer the concerned money to HDFC Securities account;

In case of death, disability, winding up or liquidation of any Joint Account Holder, HDFC Bank shall be entitled to immediately freeze the Associated Bank Accounts, and the mandate, shall be revoked as regards such Joint account Holder upon the intimation in writing to HDFC Bank and HDFC Securities of such death, disablement, dissolution, winding up or liquidation by the surviving Joint Account Holder/s and the instructions and agreements contained herein shall be revoked within two days of such intimation.

Due to any systems used for executing instructions there could be consequent delay of one business day (in the normal course) or other number of days (for reason not in control of HDFC Bank) from the date of receipt of the instructions to the actual execution of the instructions in the systems and hence instructions will be provided well in advance in order to enable timely delivery. Further all instructions, whether for a hold or transfer or otherwise would be in respect of the balances in the Associated Bank Accounts on the previous day and HDFC Bank would not permit blocking/transfer of any other action in respect of funds which have come to the credit of the Associated Bank Accounts on the current day.

This mandate may be revoked by the Client at any time, however, such revocation shall not affect the obligations of the Client outstanding at the time such revocation and the mandate and instructions granted hereunder shall continue until all transactions and instructions already executed or issued in pursuance of this mandate and all outstandings towards HDFC Bank have been settled.

I/We the Client and the other account holders have hereby executed this mandate and instruction at ____________________ on the ______ day of 20___.

List of HDFC Securities Ltd. bank accounts where funds can be moved w.e.f. October 01, 2019.

Bank Name	HDFC Bank Ltd.
Account no	
50200036338693, 50200036340161, 50200036338578, 50200036340151, 50200036338730, 50200036340148, 50200036340174, 50200036340250, 50200036338720, 50200036338717, 50200034589301, 00602030000061, 00602340029392, 00602340029400, 00602340029410, 00602340029427, 00602340029434, 00602340029444, 00602340029451, 00602340029461, 00602340029478, 00602340029488, 00602340029616, 05422320002170, 15772340000727, 57500000389146, 00990610005906	

ASSOCIATED BANK ACCOUNT DETAILS:

HDFC BANK A/C

Account Type

Customer / UCIC ID

Branch

S21 Signature 1st holder	Signature 2nd holder	Signature 3rd holder
Name of 1st holder	Name of 2nd holder	Name of 3rd holder
Constitution of 1st holder	Constitution of 2nd holder	Constitution of 3rd holder
Address of 1st holder	Address of 2nd holder	Address of 3rd holder
Address of 1st holder	Address of 2nd holder	Address of 3rd holder

UDN

Application for Net Banking facility for Companies / Trusts / Co-operative Societies / Association of Persons / Clubs

DETAILS OF FIRM / AUTHORISED SIGNATORY

Name of Firm / Entity :

Cust ID :

Authorised Signatory :

Authorised Signatory Cust ID :

Authorised Signatory e-mail ID :

We refer to the current account opened by us bearing No. ______________________(“said account”) at ____________________branch of HDFC Bank Ltd.,

Pursuant to and in partial modification of the authority given by way of Resolution of the Board of Directors / Trustees / Managing Committee to operate the said account, in order to facilitate the operation of the said account, we hereby request HDFC Bank to allow Mr. ____________________________________ being a Director / Trustee / Authorised Signatory to operate the said account through NetBanking facility (doing so singly) by using the Customer ID and the NetBanking IPIN (password) on the terms and conditions detailed hereunder which have been read and understood by us. We acknowledge that the same are in addition to and not in derogation of the terms and conditions relating to the said account and NetBanking facility.

1. Mr.____will be solely entitled to receive the customer ID and the NetBanking facility and to acknowledge the same.
2. The customer ID and the IPIN (password) shall be kept totally secret and confidential by him.
3. All transactions carried on in the said account through use of the NetBanking facility shall be binding on the Company / Trust / Co-Operative society / Association of Person / Club and HDFC Bank shall at all times be kept saved and harmless from all actions, claims demands, proceedings, losses, dangers, costs, charges and expenses whatsoever which HDFC Bank may at any time incur, suffer or sustain or to be put to as a consequence of or by reason of or arising out of transactions carried out through the NetBanking facility.
4. HDFC Bank shall at all times be informed of any charges in the operating instructions for NetBanking facility by furnishing necessary documents and writing and in such event to change the Net Banking password forewith.
5. HDFC Bank shall not be responsible and liable to monitor the nature of expenses incurred by the use of the said NetBanking facility.
6. All the provision of the Foreign Exchange Management Act, 1999 and the Rules made thereunder and the regulations of the Reserve Bank of India relating to foreign exchange, in force from time to time shall be complied with by us.

We have read and understood the Terms and Conditions (a copy of which is in our possession) relating to NetBanking facility. We accept and agree to be bound by the said Terms and Conditions. We agree that the Bank may debit the said account for service charges as applicable from time to time.

SIGNATURE

S22

Signature

Signed at __________________________________(Place)

By_____________(Authorised Signatory) of ____(Name)

FOR BANK USE ONLY

Branch :______________________________

Signature verified by_____________________________ **Acount No. verified by** ____________________________

Date NetBanking password generated___________________________

Note : Please attach resolution in the format given overleaf

UDN

APPLICATION FOR NETBANKING FOR HINDU UNDIVIDED FAMILY (HUF)

Details of HUF/Karta

HUF Name

HUF Cust ID

Karta Name

Karta's Cust ID

Karta's e-mail ID :____

I refer to the current/savings account opened by you in the name of ______________________________ Hindu Undivided Family (HUF) operated by me as the Karta of the HUF, ("said account") Pursuant to the authority given to me by all the adult coparceners for self and on behalf of all the minor members of the HUF, in order to facilitate the operation of the said account, I hereby request HDFC Bank to allow me as the Karta of the HUF to operate the said account through NetBanking facility by using the Customer ID and the NetBanking IPIN (password), on the terms and conditions detailed hereunder which have been read and understood by me, in addition to and not in derogation of the terms and conditions relating to the said account and NetBanking facility. 1. As the Karta of the HUF and having the authority to operate the said account from all the adult coparceners, I will be solely entitled to receive the customer ID and the NetBanking IPIN (password) to access the NetBanking facility and to acknowledge the same. 2. The Customer ID and the IPIN (password) shall be kept totally secret and confidential by me. 3. All transactions carried on in the said account through use of the NetBanking facility shall be binding on all the members of the HUF and I shall keep HDFC Bank saved and harmless from all actions, claims, demands, proceedings, losses, damages, costs,charges and expenses whatsoever with HDFC Bank may at any time incurr,suffer or sustain to be put to as a consequence of or by reason of or arising out of transactions carried out through the NetBanking facility. 4. HDFC Bank shall at all times be informed of any changes in the constitution of the HUF by furnishing necessary documents and writings. 5. HDFC Bank shall not be responsible and liable to monitor the nature of expenses incurred by the use of the said NetBanking facility. 6. To comply with the provisions of the Foreign Exchange Management Act, 1999 and the regulations of the Reserve Bank of India relating to foreign exchange in force from time to time. 7. This writing shall be enforceable against myself or any succeeding Karta of the HUF or against any and all the adult coparceners of the HUF and shall not be affected by any change in the constitution of the HUF and shall be binding on the HUF, its estate effects and successors.

Declaration:
I have read and understood the Terms and Conditions (a copy of which I am in possession of) relating to the NetBanking facility. I accept and agree to be bound by the said Terms and Conditions. I agree that the Bank may debit the HUF account for service charges as applicable from time to time.

SIGNATURE
Signed at
(Name)

S22
Authorised Signatory
Sign with Company Seal

(Place) By ______________________

as the Karta (Name) of ______________________________ HUF

FOR BANK USE ONLY BRANCH ________________ SIGNATURE VERIFIED BY ______________________

ACCOUNT NO. VERIFIED BY ________________ DATE NETBANKING PASSWORD GENERATED __________

Signature

HDFC BANK
We understand your world

DEPOSITORY SERVICES

Combined Registration Form for Net Banking / DP @ Net facility

UDN

DP ID | Client ID | Date D D M M Y Y Y Y

Customer Identification No. Cust ID:
(Savings / Current Account)

(Cust ID should be provided for the Sole / 1st Holder of the Demat Account)

I / We the account holders of the above Demat Account would like to register for the following facilities offered by HDFC Bank Ltd.

No.	FACILITY	CONSENT
1.	Net Banking	YES
2.	View Demat Account through Net Banking	YES

I / We have read and understood the terms and conditions detailed below. I / We accept and agree to be bound by the said terms and conditions and to any changes made therein from time to time. These facilities would be given to the first holder.

	NAME	SIGNATURE
Sole / 1st Holder		S23 (Signature of 1st Holder)
2nd Holder		(Signature of 2nd Holder)
3rd Holder		(Signature of 3rd Holder)

DECLARATION:
- I have read and understood the Terms and Conditions (a copy of which I am in possession of) relating to opening of an account and various services including but not limited to NetBanking.
- I accept and agree to be bound by the said Terms and Conditions. I understand that I would be registered for NetBanking, incase I am not already registered for it. I agree that the Bank may debit my account for service charges as applicable from time to time.

Terms & Conditions:
NSDL
- I / We confirm that necessary steps will be taken to ensure confidentiality and secrecy of the login name and password.
- I am / We are aware that the transaction statement may be accessed by other entities in case the confidentiality / secrecy of the login name and password is compromised.
- I / We agree to immediately inform HDFC Bank Limited about change in email address, if any.
- I am / We are aware that I/We will not receive Transaction Statement in paper form.
- I / We reserve my/our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you.
- I / We and HDFC Bank Limited shall have the right to terminate this agreement after giving a notice of atleast 10 days to the other party. The above terms and condition are in addition to and not in contravention of the terms and conditions forming a part of the "AGREEMENT BETWEEN THE PARTICIPANT AND THE PERSON SEEKING TO OPEN AN ACCOUNT WITH THE PARTICIPANT" signed by the client at the time opening the account with the Depository.

CDSL
- Pursuant to the amendment in Clause 3 of the agreement (Annexure C to the Bye Laws of CDSL), I / We confirm having opted to receive the statement of accounts pertaining to the above mentioned BO account in electronic mode in lieu of physical copy of the statement of account.
- I / We confirm that the dispatch of statement of account to me/us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me/us with statement of my/our BO account. But, I/we reserve my/our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you.
- I / We confirm that any change in the aforesaid email address or any other instructions with regard to dispatch/ service of my/our statement of account on me/us shall not be binding upon you unless you are intimated in writing by me/us by acknowledged delivery.

General Instructions:
- The IPIN (Net Banking password) will be mailed to you at your recorded mailing address within the Bank. The same may be used to access the Net Banking facility. Password will not be regenerated, incase you are already registered for NetBanking
- The DP @ Net facility is available only to the clients who have already registered for NET BANKING facility for their Bank account with HDFC Bank Ltd. The client should be the Sole / 1st holder of the above mentioned Demat Account.
- No separate User ID and password is required for availing the DP @ Net facility offered by HDFC Bank Ltd. The facilities offered through

Features
DP @ Net includes:

a) View holding statement with valuations as per previous day's closing price,
b) View 6 month's transaction statement,
c) View status of Demat requests,
d) View settlement calendar, etc.

- Email statements would be sent only to the Sole / 1st Holder of the above mentioned Demat Account

DRAFT OF BOARD RESOLUTION (ON LETTER HEAD)

Certified true copy of the resolution passed at the meeting of the Board of Directors / Trustees (in case of Company / Trust) , Managing Committee of Club / AOP/ Society held on...........(day)................. (date)......................... at ....(place). The Board / Trustees / Managing Committee took up for discussion a proposal for availing e-broking and net banking facility provided by HDFC Securities Ltd & HDFC Bank Limited respectively. The Chairman informed the Board/Trustees / Managing Committee that the Company / Trust / Club / AOP / Society already has a depository account as well as current account with HDFC Bank and it would be in the interest of the Company / Trust / Club / AOP / Society to avail the aforesaid facilities of e-broking and net banking offered by HDFC Securities Limited & HDFC Bank Limited. The Chairman further informed the Board / Trustees / Managing Committee that upon registering with HDFC Bank Ltd. for net banking and HDFC Securities Ltd. for e-broking, the Bank enables the Customer ID of a single authorized signatory of the Company / Trust / Club / AOP / Society through which the account of the Company / Trust / Club / AOP / Society can be accessed and operated. The Chairman further stated that since this Customer ID gives an access and authority to operate the Company's / Trust's / Club's / AOP's / Society's current and depository account, it would be immensely important for the Company / Trust / Club / AOP / Society to restrict its use only to a single Authorised Signatory of the aforesaid current and depository account. The Chairman emphasized that the Company / Trust / Club / AOP / Society needs to have adequate safeguards for avoiding the misuse of the Customer ID/User Id and password provided by HDFC Bank Ltd. and HDFC Securities Ltd. and informed the Board / Trustees / Managing Committee that HDFC Bank Ltd. and HDFC Securities Ltd. shall not be held responsible or liable in any manner in case of any misuse / misappropriation of the same.

The Chairman also explained the Board / Trustees / Managing Committee the benefits of availing net banking facility provided by HDFC Bank Ltd. in respect of the current account of the Company / Trust / Club / AOP / Society bearing no.......................... with its branch at ....The Board / Trustees / Managing Committee after discussion decided to avail the e-broking facility as well as net banking facility provided by HDFC Securities Ltd and HDFC Bank Ltd. and passed following resolutions: "RESOLVED THAT the consent of the Board/ Trustees / Managing Committee be and is hereby given for 1) for opening and availing the ebroking facility provided by HDFC Securities Ltd. and also 2) availing the net banking facility in respect of a current account No..of the Company / Trust / Club / AOP / Society maintained with HDFC Bank Ltd. at it's..............................branch RESOLVED FURTHER THAT the following official of the Company / Trust / Club / AOP / Society, who is also an authorized signatory for the current and depository account maintained by the Company / Trust / Club / AOP/ Society with the Bank be and is hereby authorized to use the Customer ID/User Id and password to be allotted by HDFC Bank Limited and HDFC Securities Limited for the aforesaid e-broking facility; (name)..Customer ID.. RESOLVED FURTHER THAT the Customer ID allotted by HDFC Bank Ltd. to the said authorized signatory of the Company / Trust / Club / AOP / Society and the Username and password allotted by HDFC Securities Limited given for operating the e-broking account be kept secret and confidential and shall not be disclosed to anyone other than the authorized signatory and the Company / Trust / Club / AOP/ Society shall be solely responsible for the safe custody and proper and/or bonafide use of the same.

RESOLVED FURTHER THAT the consent of the Board / Trustees / Managing Committee be and is hereby given to agree, accept and comply all the terms and conditions contained in DP on net form (more particularly to clause 2.1 of the said terms and conditions) and also the terms and conditions contained in the DDPI + Bank + Master Mandate and the client agreement / rights and obligations which forms part of the application form.

RESOLVED FURTHER THAT Mr/Ms..(designation) be and is hereby authorized to apply to HDFC Bank Limited and HDFC Securities limited for an on behalf of the Company / Trust / Club / AOP / Society and to operate the aforesaid account through net banking and e-broking. RESOLVED FURTHER THAT Mr/Ms ....and Mr/Ms.................................... be and hereby severally / jointly authorized to sign all the necessary forms, deeds, applications, Indemnity Bonds, Affidavit, Power(s) of Attorney, returns and any other document as may be directed by the Bank and to affix the Common Seal, if required, in accordance with the Articles of Association / Rules / Bye Laws of the Company(applicable only in case of company) / Trust / Club / AOP / Society and to furnish a copy of this resolution to HDFC Bank Limited and HDFC Securities Ltd and to do all such acts, deeds and things as may be necessary to give effect to this resolution."

For.. Company Secretary / Director(s)/Trustee / Authorised Signatory

*Please strike out the bold words which are not applicable depending upon the nature of legal entity. (Company / Trust / Club / AOP / Society) and the authority passing the same (Board / Members).

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

S24

Signature of Customer

HDFC securities | 25 YEARS
Powering India's Investments

Policy for Handling Good Till Date Orders

1. Background :

Exchanges vide it's circular NSE/INSP/62528 dated June 21, 2024 and 20240622-2 dated June 22, 2024 pertaining to 'Policy on Handling of Good Till Cancelled Orders offered by Members to Clients' mandated trading members to formulate a policy in case they offer "Good Till Cancelled" / "Good Till Triggered" orders or orders of similar type.

2. Scope:

The Exchanges have stated that the policy shall include –

• Details of Good Till Cancelled/Good Till Triggered/orders of similar type provided by member including its validity.

• Manner of handling of such orders in case of corporate actions (e.g. cancellation, price reset, retaining, etc. for the unexecuted orders).

• Provide timeline within which the member shall intimate their clients about details of upcoming corporate actions applicable for such unexecuted orders of clients, which shall not be later than one day prior to the ex-date of the corporate action.

3. Details of Good Till Cancelled/Good Till Triggered/orders –

a. HDFC Securities Ltd. ("HSL") enables it's clients to place "Good Till Date" (GTDt) orders.

b. GTDt is a order facility through which a client can place buy and sell limit orders in shares, index futures & index options specifying the period for which the order instruction is valid. The period selected by the client shall be within the maximum validity date defined by HSL. Since client has the right to define validity date ("order validity date"), this order type is called as Good Till Date (GTDt) order.

c. All existing and new clients of HSL who are eligible to trade in Equity Cash product & Derivatives can avail GTDt facility for order placement.

d. The facility of placing a GTDt order is available in Equity Cash product, Index futures, Index Options and E-Margin (MTF) product only. It is not available for other products like Margin, Spot, etc. As and when, GTDt orders are introduced in new segments the same shall be displayed through the HSL website and subsequently updated in the policy.

e. Client can specify disclosed quantity while placing cash orders with GTDt order validity.

f. GTDt orders can only be placed by specifying a limit price. GTDt orders cannot be placed at market price.

g. If a GTDt order is not executed for the entire quantity, HSL is authorised to place fresh orders for the unexecuted quantity for the client on the subsequent trading days till the entire quantity is executed or till the validity expires, whichever is earlier. This feature permits the client to specify the number of days during which the client intends to place the orders.

h. GTDt orders can be placed during the pre-open session for all scrips, however only orders in scrips that are pre-open enabled would be sent to exchange during the pre-open session. Orders in all other scrips not enabled for pre-open session would be treated as overnight orders and sent to exchange during normal trading session.

i. Client shall ensure that necessary funds/margins are available to place GTDt orders in their account for the unexecuted quantity of the order.

j. "Order Validity Date" means the date entered by the client while placing GTDt orders. This date shall be equal to or less than the maximum validity date defined by HSL which would appear as the default "Order Validity Date". Client can choose the GTDt order validity date as less than or equal to the maximum validity date defined by HSL. Client shall not be allowed to place orders with GTDt validity beyond maximum defined validity date.

k. In case the GTDt order validity date falls on a non trading day, the order is expired by HSL on the last trading day which falls prior to such order valid date which is a non trading day. Post the expiry, the status of GTDt order is updated as Expired (Closed).

l. Once a client has placed aGTDt order, HSL will place orders for the unexecuted quantity of the GTDt order for all the days during the validity period or till the quantity is fully executed or cancelled or rejected due to any reason. Client may login only to check the status of such orders.

m. For the unexecuted quantity orders shall be placed daily as overnight orders during the validity period, i.e. until the order validity date is less than or equal to the next trade date provided such GTDt order remains unexecuted and is not cancelled, nor rejected due to any reason. The orders would be placed on these dates provided they are trading days.

n. All securities in BSE & NSE except securities in debt segment, NCD, Bonds and illiquid securities are eligible for the placing GTDt order.

o. Orders with GTDt validity can be placed both during the market hours as well as post market hours.

p. GTDt orders can be placed over CallNTrade.

q. Client can place maximum 5 GTDt orders for a particular scrip and in all a client can place maximum 30 GTDt orders across all eligible scrips.

r. Clients can modify the quantity or limit price of a GTDt orders Clients can modify the order only when the order is in 'Ordered status' (during market hours) or 'Requested status' (after market hours). "GTDt Blocked" orders cannot be modified but can only be cancelled.

s. All GTDt orders can be cancelled.

t. The Brokerage rates and applicable charges are same for normal transactions and GTDt orders. Further, GTDt orders shall be settled in the same manner as normal equity / derivative market transactions.

u. Clients can also place GTDt Buy and Sell (sell- Open position only) order under E-Margin Product.

v. Stoploss orders can also be placed with GTDt validity.

4. Handling of GTDt orders in case of corporate actions :

Post Corporate Action, GTDt orders will be validated against DPR (Daily Price Range) sent by the exchange. Before revalidating the order for next trading day (post corporate action), system will check for circuit limits and daily price range and would validate orders only within the circuit limit and daily price ranges.

The orders which would get failed in circuit check and daily price range for next day pumping would be kept in system in "GTDt Blocked" status for retry on subsequent trading day.

Emails and SMS will be sent to the customers when the GTDt orders fall short of Funds / Securities or Blocked due to price beyond DPR range.

5. Updating Clients of upcoming Corporate Actions :

All upcoming corporate actions including dividend, bonus, split, etc. shall be intimated to clients having unexecuted GTDt orders atleast one day prior to the ex-date of the corporate action.

Clients shall review their GTDt orders pro actively whenever there may be impact of corporate action on their order/s. It would be the onus of the client to take appropriate action to modify / cancel orders accordingly.

6. Policy Communication :

The said policy shall be made part of the Account Opening Form/Kit under heading "Policy on Handling of Good Till Cancelled Orders of Client" of Policy and Procedures document and shall also be displayed on the HSL website.

7. Policy Review :

The said policy shall be reviewed on an annual basis.

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Signature of Customer

HDFC securities 25 YEARS
Powering India's Investments

Disclosure of minimum mandatory Terms & Conditions to clients pursuant to SEBI Research Analyst ('RA') Regulations

1. Availing the research services: By accepting delivery of the research service, the client confirms that he/she has elected to subscribe the research service of the RA at his/her sole discretion. RA confirms that research services shall be rendered in accordance with the applicable provisions of the RA Regulations.

2. Obligations on RA: RA and client shall be bound by SEBI Act and all the applicable rules and regulations of SEBI, including the RA Regulations and relevant notifications of Government, as may be in force, from time to time.

3. Client Information and KYC: The client shall furnish all such details in full as may be required by the RA in its standard form with supporting details, if required, as may be made mandatory by RAASB/SEBI from time to time. RA shall collect, store, upload and check KYC records of the clients with KYC Registration Agency (KRA) as specified by SEBI from time to time.

4. Standard Terms of Service: "I / We have read and understood the terms and conditions applicable to a research analyst as defined under regulation 2(1)(u) of the SEBI (Research Analyst) Regulations, 2014, including the fee structure. I/We are subscribing to the research services for our own benefits and consumption, and any reliance placed on the research report provided by research analyst shall be as per our own judgement and assessment of the conclusions contained in the research report.

I/We understand that –

i. Any investment made based on the recommendations in the research report are subject to market risk.

ii. Recommendations in the research report do not provide any assurance of returns.

iii. There is no recourse to claim any losses incurred on the investments made based on the recommendations in the research report."

Declaration of the RA that:

I. It is duly registered with SEBI as an RA pursuant to the SEBI (Research Analysts) Regulations, 2014 and its registration details are: (registration number, registration date);

ii. It has registration and qualifications required to render the services contemplated under the RA Regulations, and the same are valid and subsisting.

iii. Research analyst services provided by it do not conflict with or violate any provision of law, rule or regulation, contract, or other instrument to which it is a party or to which any of its property is or may be subject;

iv. The maximum fee that may be charged by RA is 1.51 lakhs per annum per family of client.

v. The recommendations provided by RA do not provide any assurance of returns.

5. Consideration and mode of payment: The client shall duly pay to RA, the agreed fees for the services that RA renders to the client and statutory charges, as applicable. Such fees and statutory charges shall be payable through the specified manner and mode(s)/ mechanism(s).

Note:- Currently, HDFC Securities Ltd does not charge its retail clients for research services.

6. Risk factors: Investments in securities market are subject to market risks, read all the related documents carefully before investing.

7. Conflict of interest: The RA shall adhere to the applicable regulations/ circulars/ directions specified by SEBI from time to time in relation to disclosure and mitigation of any actual or potential conflict of interest. The disclosures regarding actual and potential conflict of interest shall be made available in the research reports published.

8. Termination of service and refund of fees: Disclosure that the RA may suspend or terminate rendering of research services to client on account of suspension/ cancellation of registration of RA by SEBI and shall refund the residual amount to the client. In case of suspension of certificate of registration of the RA for more than 60 (sixty) days or cancellation of the RA registration, RA shall refund the fees, on a pro rata basis for the period from the effective date of cancellation/ suspension to end of the subscription period.

9. Grievance redressal and dispute resolution: Any grievance related to (i) non-receipt of research report or (ii) missing pages or inability to download the entire report, or (iii) any other deficiency in the research services provided by RA, shall be escalated promptly by the client to the person/employee designated by RA, in this behalf. (refer escalation matrix).

The RA shall be responsible to resolve grievances within 7 (seven) business working days or such timelines as may be specified by SEBI under the RA Regulations. RA shall redress grievances of the client in a timely and transparent manner. Any dispute between the RA and his client may be resolved through arbitration or through any other modes or mechanism as specified by SEBI from time to time.

10. Additional Clauses: All additional voluntary clauses added by the RA should not be in contravention with rules/ regulations/ circulars of SEBI. Any changes in such voluntary clauses/document(s) shall be preceded by a notice of 15 days.

11. Mandatory notice: As investors you are requested to go through Do's and Don'ts while dealing with RA as specified in SEBI master circular no. SEBI/HO/MIRSD-POD-1/P/CIR/2024/49 dated May 21, 2024 or as may be specified by SEBI from time to time.

12. Optional Centralised Fee Collection Mechanism: SEBI has introduced optional 'Centralised Fee Collection Mechanism for IA and RA' (CeFCoM) available for payment of fees to RA. However, HSL has not availed the said facility.

13. Use of Artificial Intelligence ('AI') tools in RA services: The extent of use of artificial intelligence tools shall be disclosed as a part of the research reports published.

14. Most Important Terms and Conditions (MITC):

1. These terms and conditions, and consent thereon are for the research services provided by the Research Analyst (RA) and RA cannot execute/carry out any trade (purchase/sell transaction) on behalf of, the client. Thus, the clients are advised not to permit RA to execute any trade on their behalf.

2. The fee charged by RA to the client will be subject to the maximum of amount prescribed by SEBI/ Research Analyst Administration and Supervisory Body (RAASB) from time to time (applicable only for Individual and HUF Clients).

Note:

2.1. The current fee limit is Rs 1,51,000/- per annum per family of client for all research services of the RA.

2.2. The fee limit does not include statutory charges.

2.3. The fee limits do not apply to a non-individual client / accredited investor.

2.4 Currently Nil, HSL reserves the right to levy charges in future.

3. RA may charge fees in advance if agreed by the client. Such advance shall not exceed the period stipulated by SEBI; presently it is one year(for Individual and HUF clients). In case of pre-mature termination of the RA services by either the client or the RA, the client shall be entitled to seek refund of proportionate fees only for unexpired period.

4. Fees to RA may be paid by the client through any of the specified modes like cheque, online bank transfer, UPI, etc. Cash payment is not allowed. Optionally the client can make payments through Centralized Fee Collection Mechanism (CeFCoM) managed by BSE Limited (i.e. currently recognized RAASB).

5. The RA is required to abide by the applicable regulations/ circulars/ directions specified by SEBI and RAASB from time to time in relation to disclosure and mitigation of any actual or potential conflict of interest. The RA will endeavor to promptly inform the client of any conflict of interest that may affect the services being rendered to the client.

6. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. No scheme of this nature shall be offered to the client by the RA.

7. The RA cannot guarantee returns, profits, accuracy, or risk-free investments from the use of the Ra's research services. All opinions, projections, estimates of the RA are based on the analysis of available data under certain assumptions as of the date of preparation/publication of research report.

8. Any investment made based on recommendations in research reports are subject to market risks, and recommendations do not provide any assurance of returns. There is no recourse to claim any losses incurred on the investments made based on the recommendations in the research report. Any reliance placed on the research report provided by the RA shall be as per the client's own judgement and assessment of the conclusions contained in the research report.

9. The SEBI registration, Enlistment with RAASB, and NISM certification do not guarantee the performance of the RA or assure any returns to the client.

10. For any grievances,

Step 1: the client should first contact the RA using the details on its website or following contact details:

Escalation Matrix:

Details	Contact Person	Address	Contact No	Email ID	Working hours
Customer Care	Ms. Mital Gala	6th Floor, Lotus Park, Lane no 16., Wagale Industrial Estate, Thane West, Thane - 400604	022-62465555	customercare @hdfcsec.com	9.00 AM to 5.00 PM (Monday to Friday)
Head Of Customer Care	Mr. Tushar Pandey	6th Floor, Lotus Park, Lane no 16., Wagale Industrial Estate, Thane West, Thane - 400604	022-69151437	services@ hdfcsec.com	9.00 AM to 5.00 PM (Monday to Friday) & 9.00 AM - 2.00 PM (Saturdays)
Compliance Officer	Mr. Murli Karkera	HDFC securities Limited, iThink Techno Campus, Building - B, 'Alpha', 8th Floor, Opp. Crompton Greaves, Near Kanjurmarg Station,Kanjurmarg (E), Mumbai - 400042	022-69151436	complianceofficer @hdfcsec.com	9.00 AM to 5.00 PM (Monday to Friday) & 9.00 AM - 2.00 PM (Saturdays)
Chief Executive Officer	Mr. Dhiraj Relli	HDFC securities Limited, iThink Techno Campus, Building - B, 'Alpha', 8th Floor, Opp. Crompton Greaves, Near Kanjurmarg Station,Kanjurmarg (E), Mumbai - 400042	022-69151441	escalation@ hdfcsec.com	9.00 AM to 5.00 PM (Monday to Friday) & 9.00 AM - 2.00 PM (Saturdays)

Step 2: If the resolution is unsatisfactory, the client can also lodge grievances through SEBI's SCORES platform at www.scores.sebi.gov.in

Step 3: The client may also consider the Online Dispute Resolution (ODR) through the Smart ODR portal at https://smartodr.in

11. Clients are required to keep contact details, including email id and mobile number/s updated with the RA at all times.

12. The RA shall never ask for the client's login credentials and OTPs for the client's Trading Account Demat Account and Bank Account. Never share such information with anyone including RA.

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Signature of Customer

Authority Letter for updating Contact details (On Company Letter Head)

I/We hereby authorize the below given Karta / Authorised Signatory/ Partner/trustee to act on our behalf for updating His / Her contact details in your records and to link/map the below mentioned mobile number and email address to the trading account.

All communications / Statements/Contract notes should be sent to below mentioned mobile number and email address only.

Authorized Person Details:

NAME	MOBILE NUMBER	EMAIL ADDRESS

The mentioned mobile number and email id belongs to:

In case of HUF, Karta or any of the Co-parceners as per prior approval of Karta

HUF - ☐ Karta ☐ Co-parcerners

In case of Corporates, the Authorised person operating the trading account as per the Board Resolution passed by the Corporate.

Corporate ☐ Authorised Signatory

In case of Partnership firm, any of the partners as per prior approval of all / authorised partners

Partnership firm ☐ Partner

For Trust account, any of the trustees or beneficiaries as per resolution passed by the Trust.

Trust – ☐ Trustee ☐Beneficiaries

(Signature)
Authorised Signatory's

Name:

Designation:

Circular number - SEBI/HO/MIRSD/MIRSD-PoD1/P/CIR/2024/169 dated 03-12-2024

(On Letter Head of the NPO entity)

Self – Declaration of Non-Profit Organisation

To,

HDFC Bank Ltd,	HDFC Securities Ltd
(Address)	(Address)

Dear Sir/Madam,

We hereby confirm that we have registered our Entity ___________________________ on the DARPAN Portal of NITI Aayog.

DARPAN ID: ___________________________ dated _____________________________.

Registration number ___________________________

Enclosure Attached: Registration Certificate/Screenshot

In case there is a change in the status of the Entity from existing Non -Profit Organisation (NPO), we will intimate HDFC Bank Ltd & HDFC Securities Ltd and provide the requisite details.

OR

We hereby confirm that entity ___________________________ is not a non-profit organisation as ____ and we are not required to register on Darpan portal.

For ____

(Name of the Trust / Association / Society / Club / Other Organisation)

Authorised Signatories

(Rubber Stamp/Seal & Signature)

(Signature)
Authorised Signatory's

Name:

Designation:

Name:

Designation:

Note:

Non-Profit Organisations (NPO) means any entity or organisation, constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), that is registered as a trust or a society under the Societies Registration Act, 1860 or any similar State legislation or a company registered under Section 8 of the Companies Act, 2013 (18 of 2013)

Annexure O (T&C for Electronic instructions)

Annexure O - Terms and Conditions for electronic instructions received from the Client by the Trading Members and/or the Participants which are authenticated by the Participant and/or Trading Member and accepted by the Client on the Depository system

A. The Client availing this facility shall ensure that:

a. The Client shall be required to be authenticated through remembered information along with OTP confirmation for each transaction processed through this facility. Client shall abide by such measures as may be required to ensure the safety and security of the Client's access to and usage of electronic facility and instructions received from Trading Member and / or Participant and / or NSDL.

b. The Client agrees to take adequate safety measures for accessing electronic facility, including but not limited to taking all the necessary steps to ensure confidentiality and secrecy of the Client's remembered information and OTP received for authentication of the Client and shall not reveal the same and / or grant access to mobile number and / or email ID as recorded in demat account of the Client, to any employee, agent or official of the Trading Member or Participant or to any other person. The Client acknowledges that failure to adhere to safety and security measures prescribed by NSDL, Participant and / or the Trading Member could lead to a loss of confidentiality and secrecy of the remembered information and OTP, thereby exposing the Client to the risk of financial losses.

c. The Client acknowledges that all instructions received from the Client by the Depository through this facility and processed after remembered information and OTP based authentication on Depository platform and as per the pre-trade authorization / mandate as submitted by the Client, shall be conclusive evidence of such instructions having been issued by the Client and shall be attributed to the Client. The Depository, Participant or Trading Member shall not be held liable for acting on the instructions so received.

d. If the Client has reason to believe that the confidentiality of the remembered information or OTP or access to mobile number or email ID as recorded in demat account of the Client, has been compromised, the Client shall intimate the Participant [or Trading Member] forthwith about such compromise or loss. The Participant [or Trading Member] shall take best efforts to forthwith disable the Client's access to electronic facility not later than one Working Day of receipt of such intimation from the Client. However, if any instructions are received prior to such disablement, the Depository and Participant shall not be liable for losses, if any, arising out of execution of such instructions.

e. The Client further acknowledges that the Client shall not have any right to any claim against either the Participant or NSDL for losses, if any, incurred due to non-execution of such instructions received late and/or executed on a best-effort basis. In the event of any dispute relating to the date and time of receipt of the instructions on electronic facility, NSDL's records shall be conclusive evidence and the Parties agree that NSDL's decision on the same shall be final and binding on both Parties.

f. The Client may opt out from this facility at any time by giving a notice in writing or through electronic facility to the Trading Member, Participant or Depository. The Trading member or, Participant shall terminate the service within fifteen (15) days of receipt of such notice.

g. The Client agrees to indemnify, keep indemnified and hold the Participant and NSDL harmless from any loss, damage, claim, suits, legal proceedings, investigations, expenses of every kind and any other liabilitywhatsoever, including reasonable attorney's fees and fees of such experts as may be become necessary for NSDL, Trading Member and / or the Participant to engage, caused due to the availing of the services by the Client in any and all circumstances including without limitation, the following:

I Falsehood or misrepresentation of any nature by the Client (or any person acting on behalf of the Client)

ii) Failure to use a trustworthy system for access the electronic facility;

iii) Failure to take the precautions necessary to prevent the compromise, loss, disclosure, modification, or unauthorised use of the Client's remembered information, OTP or access to mobile number and / or email ID as recorded in the demat account of the Client

h. Notwithstanding anything contained herein, the Client recognises and acknowledges that the Trading member/Participant may, in accordance with instructions received by the Trading Member/Participant from NSDL, prescribe such other security measures as it deems fit, in replacement of or supplementing the validation process through the use of remembered information or OTP, including without limitation the use of biometrics and such other methods as would validate the identity of the Client for access to the electronic facility. The Client agrees that a condition precedent for the Client's access to electronic facility is that the Client shall provide such information, data, and access to its representatives and personnel, as may be designated by the Client as the users of the electronic facility in order to enable Participant to generate such biometric criteria or other criteria in accordance with the security measures prescribed by Participant, in accordance with instructions received by the Participant from NSDL.

B. Participant shall take note and ensure the following: -

a) The Participant may withdraw the access of the Client to electronic facility at any time provided a notice of at least thirty (30) days is given to the Client. The Participant may suspend or terminate the service without prior notice if the Client has breached any of these terms and conditions or rights and obligation of demat account, or if the Participant learns of the death, bankruptcy, lunacy or loss of legal capacity of the Client.

b) This facility unless opt out by Client earlier shall be deemed to be opt out immediately on closure of demat account.

C. General Terms & Conditions:

a. Any dispute or difference between the Parties, other than disputes between client and Trading Member to be settled through arbitration mechanism of the concerned Stock Exchange(s) and falling beyond the scope of the Depository, shall be resolved solely by means of reference to binding arbitration under the arbitration mechanism as provided in the Bye-Laws and Business Rules.

b. The above terms and conditions are in addition to and do not undermine in any manner whatsoever the terms and conditions forming a part of the rights and obligation of Participant and Client.

Additional Rights and Obligations

Thee stock broker / Stock broker and depository participant shall no directly / indirectly compel the clients to execute Power of Attorney (POA) or Demat Debit and Pledge Instructions (DDPI) or deny services to the client if the client refuses to execute POA or DDPI.

UDN

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India(SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.

2. The DP shall open/activate Demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.

4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the Demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that " no charges are payable for opening of Demat accounts"

6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.

7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.

10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in Demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the Demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.

12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his Demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.

14. However, if there is no transaction in the Demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.

15. The DP may provide the services of issuing the statement of Demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of Demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of Demat account in the electronic mode, then the Participant shall be obliged to forward the statement of Demat accounts in physical form.

16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the Demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her Demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their Demat account should be transferred to another Demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of Demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the Demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.

20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,

1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.

2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her Demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the Demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.

27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.

28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI

30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.

31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

UDN

Fee Schedule for HDFC Bank Corporate Demat Accounts (NSDL & CDSL)

Sr. No.	Fee Head	Type	Standard Program Pricing	
			Fees	Min
1.	Account Opening Charges		NIL	
2.	Credit Transactions	-	NIL	
3.	Debit Transactions Equity / Debt / Mutual Funds (Market / Off Market) Pledge Services (Closure / Creation / Invocation)		0.04% of the value of the txn. (Max Rs 4,999/-) Inclusive of NSDL / CDSL actual debit transaction charges	Rs. 30/- Inclusive of NSDL / CDSL actual debit transaction charges
4.	Margin Pledge (Creation / Invocation / Closure)		Rs. 20/- per instruction	
5.	Dematerialisation	Certificate + Dematerialisation Request	Rs. 5/- per Certificate + Rs. 35/- per request	Rs.40/-
6.	Rematerialisation	Rematerialisation Request	Rs.30/- per request + NSDL / CDSL actuals, Currently a) Rs. 10/- for every hundred securities or part thereof; or b) Flat fee of Rs. 10/- per certificate whichever is higher	Rs.40/- (min) Rs.5,00,000 (max.)
7.	Reissuance of Delivery Instruction Booklet (DIB)		Rs.100/- per booklet	
8.	Courier / Postal Charges only (Adhoc Statement)	Inland Address	Rs.35/- per request	
		Foreign Address	Rs.500/- per request	
9.	Annual Maintenance Charges	AMC	Rs 1,500/- p.a. (Rs 750/- p.a. for HUF)	

Common Clauses :

- Customers who have a banking relationship with HDFC Bank to provide a debit authorisation for the recovery of service charges.
- The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable.
- Incase you are applicable for submission of GSTIN details, please provide details in a separate "GST Annexure". GST Annexure is available on our website (www.hdfcbank.com >> Form Center>> Demat Tab >> GST Annexure)
- All charges / service standards are subject to revision at the Bank's sole discretion at any given point of time and the same shall be communicated to the customers with a notice of 30 days.
- Customers having only a Depository relationship will be required to maintain a balance of Rs 15,000/- in an account maintained by Bank for each Demat account.The Customer also need to replenish the balances in the said account immediately if and when it falls below Rs.5,000/-.
- The Annual Maintenance Charges are levied, in advance, for a period of one year at the beginning of the billing cycle. For the computation of AMC for Managed Program, the transactions for the previous year will be evaluated, and basis the number of transactions done by the customer, AMC will be levied as per the transaction slabs defined. (For more details of Managed program, kindly refer our website www.hdfcbank.com)
- The value of the transaction will be in accordance with rates provided by Depositories (NSDL / CDSL)
- The transaction charges will be payable monthly. The charges quoted above are for the services listed. Any service not quoted above will be charged separately.
  I. Debit transaction charges are inclusive of NSDL / CDSL actuals which are ₹4.00 / ₹ 3.50 per debit instruction respectively.
  II. CDSL discounts on Debit transactions are on mutual funds & bonds, a discount of ₹ 0.25 per debit transaction.
- The operating instructions for the joint accounts must be signed by all the holders.
- All instructions for transfer must be received at the designated DP servicing branches of the Bank at least 24 hours before the execution date.
- In case of non- recovery of service charges due to inadequate balance in your linked bank account or inadequate advance fees or invalid bank account, the Depository services for your account will be temporarily discontinued. The services will be resumed in a minimum of three working days from the date of receipt of request with HDFC Bank and post payment of all outstanding dues towards Depository charges.
- In case the Demat accounts are with nil balances / transactions or incase if the customer defaults in payment of AMC, the physical statement shall not be sent to the customer after period of 1 year. However the electronic statement of holding will be sent only to the customers whose email IDs are registered for e-statement.
- The Depositories have started dispatching Consolidated Account Statement (CAS) to the customers w.e.f. March 2015, hence despatch of physical statements will be discontinued.
- Your Transaction cum Billing statement will be available on NetBanking under Demat tab by second week of every month with option to view.
- Effective 01July'2020 stamp duty charges would be collected on consideration amount of OffMarket transfer / Pledge invocation instruction, before execution of request.
- Transactions from Promoter / Director account under NDU arrangement would be charged with actuals levied by NSDL / CDSL as per pledge instructions.

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories)

HDFC BANK
We understand your world

HDFC Bank Limited, Depository Services, Empire Plaza I, 4th Floor, Chandan Nagar, LBS Marg, Vikhroli West, Mumbai - 400083.
Registered Office: HDFC Bank House, Senapati Bapat Marg, Lower Parel, Mumbai - 13.

☐ NSDL ☐ CDSL

ACKNOWLEDGMENT

Received the application from M/s____ as the sole/first holder alongwith ______________________ and _______________________ as the second and third holders respectively for opening of a depository account. Please quote the DP ID & Client ID allotted to you (CM-BP-ID in case of Clearing Members) in all your future correspondence.

Date:________________

Participant Stamp & Signature