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Nomination Form for Demat Account and Trading Account with HDFC Securities Ltd.

To be filled in by individual applying singly or jointly (Not applicable for HUF/Minor Account)

BAR CODE NUMBER

DP ID ____ Client ID ____ Trading Account ____ Date D D M M Y Y

Nomination details are mandatory for Sole holder Demat Account and are optional for joint holder Demat Account

☐ I/We wish to make a nomination (As per details given below)

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) *

	1st Nominee	2nd Nominee	3rd Nominee
Name of the Nominee(s) (Mr./Ms.) (M)	FIRST MIDDLE LAST	FIRST MIDDLE LAST	FIRST MIDDLE LAST
Shares of Nominee(%)**	Specify Percentage______%	Specify Percentage______%	Specify Percentage______%
Relationship with Applicant #(M)			
Nominee(s) Address	____ CITY STATE COUNTRY PIN Code	____ CITY STATE COUNTRY PIN Code	____ CITY STATE COUNTRY PIN Code
Nominee Identification Document	☐ PAN ☐ Driving License ☐ Aadhaar ☐ Passport (Additional document for NRI)	☐ PAN ☐ Driving License ☐ Aadhaar ☐ Passport (Additional document for NRI)	☐ PAN ☐ Driving License ☐ Aadhaar ☐ Passport (Additional document for NRI)
Identity Number ***	Ref. ID no.______	Ref. ID no.______	Ref. ID no.______
Mobile/Telephone no. of Nominee(s)(O)	+ MOBILE NO	+ MOBILE NO	+ MOBILE NO
Email ID of Nominee(s)(O)	______	______	______
Date of Birth of Nominee(s) Only when Nominee is Minor (M)	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
Name of Guardian (Mr./Ms.) In case nominee is minor.	FIRST MIDDLE LAST	FIRST MIDDLE LAST	FIRST MIDDLE LAST
Address of Guardian(s)	____ CITY STATE COUNTRY PIN Code	____ CITY STATE COUNTRY PIN Code	____ CITY STATE COUNTRY PIN Code
Relationship of Guardian with Nominee #			
Guardian Identification Document	☐ PAN ☐ Driving License ☐ Aadhaar ☐ Passport (Additional document for NRI)	☐ PAN ☐ Driving License ☐ Aadhaar ☐ Passport (Additional document for NRI)	☐ PAN ☐ Driving License ☐ Aadhaar ☐ Passport (Additional document for NRI)
Guardian Identity Number***	Ref. ID no.______	Ref. ID no.______	Ref. ID no.______
Mobile/Telephone no. of Guardian	+ MOBILE NO	+ MOBILE NO	+ MOBILE NO
Email ID of Guardian	______	______	______

Spouse,Son, Daughter, Father, Mother, Brother, Sister, Grand-Son, Grand-Daughter, Grand-Father, Grand-Mother, Others,

☐ **I/We wish to Opt Out of Nomination.**

Declaration Form for opting out of nomination
I hereby confirm that I do not wish to appoint any nominee(s) to my demat account/mutual fund folio at this point of time.
I understand that –
(i) the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise.
(ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s).
(iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework.
I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

Signature of two witness(es), along with Name & Address are required ONLY WHEN thumb impression is affixed by any of holder

Witness 1	Name & Address of the Witness, ONLY WHEN thumb impression affixed by the any of holder (Mr. / Ms):______	D D M M Y Y Y Y	Signature of Witness
Witness 2	Name & Address of the Witness, ONLY WHEN thumb impression affixed by the any of holder (Mr. / Ms):______	D D M M Y Y Y Y	Signature of Witness

Notes on Nomination in Demat Account (Refer Notes For Joint Accounts on page 4 for joint accounts)

Notes : 1. All communication shall be sent at the address of the Sole/First holder only. 2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate. 3. Instructions related to nomination, are as below: I The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, and Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form. II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner. III. Only Individual / natural person(s) can be nominee(s). The Nominee shall not be artificial person created/dressed by the law or by a fiction such as trust, society, body corporate, partnership firm or Hindu Undivided Family. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time. IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities. V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal heir. VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form. VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee. 4. Instructions related to mode of receiving Statement of Account in electronic form, are as below: I. All the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/ email account should be taken by the client. Such statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised II. Participant or Client can terminate such arrangement by giving 10 days prior notice. III. In case opted for statement through email, the Client shall immediately inform the Participant about change in email address, if any

*Joint Accounts:

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

** If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form. (see table in 'Transmission aspects').

*** Provide only number: PAN or Driving License or Aadhaar (last 4). However, in case of NRI / OCI / PIO, Passport number is acceptable.

(M) - Mandatory and (0) - Optional.

1) I / We want the details of my / our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the DP as follows;

(please tick, as appropriate) ☐ Name of nominee(s) ☐ Nomination: Yes Or No (to be displayed).

If client has not selected either of the above options, the nomination registration status (i.e., whether nomination is registered – Yes/No) shall be printed in the statement of holding or statement of account.

2)This nomination shall supersede any prior nomination made by me / us, if any.

Name of the Holders	DPID: 12086700	Client ID:	Signature of the Holders
Name of 1st Holder			S9 Signature 1st holder
Name of the 2nd Holder			Signature 2nd holder
Name of the 3rd Holder			Signature 3rd holder

Rights, Entitlement and Obligation of the investor and nominee:

- If you are opening a new demat account, you have to provide nomination. Otherwise, you have to follow procedure for Opt-Out.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account.
- In case all your nominees do not claim the assets from the DP, then the residual unclaimed asset shall continue to be with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account, in case of your physical incapacitation, at any point of time and not just during opening of account / folio. This mandate can be changed any time you choose.
- The signatories for this nomination form shall be as per mode of holding in the / demat account i.e.
  - 'Either or Survivor' Accounts - any one of the holder can sign
  - 'First holder' Accounts - only First holder can sign
  - 'Jointly' Accounts - all holders have to sign

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Signature Attestation by Banker

This is to certify that Mr./Ms. ____

is maintaining Savings Account Number ________________________________with us since _____/_____/_______.

We hereby confirm the following details are as per our records:

Type of Account: Savings /Current /NRO /NRE /NRNR /Others (Pl. specify______________)

Title of the Account :

Mailing Address :

IFSC Code: MICR Code:

We confirm the signature of Mr./Ms. ______________________________ as appended below is as per our bank records.

This letter has been issued upon specific request received from the customer without any risk or responsibility on part of the Bank or any of its signing authorities.

FOR BANK

Name of the Bank Staff ___________________________________

Emp Code______________ Designation__________________________

Telephone Number______________________________________

Place____________________ D D M M Y Y Y Y

Signature of the Bank Staff

Seal / Stamp of Bank